



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF THE COMMISSIONER

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Nicholas A. Toumpas
Commissioner

January 15, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 3:7,II Laws of 2014 authorize the Department of Health and Human Services to transfer general funds in the amount of \$44,233,213 and pursuant to the provisions of RSA 14:30-a,VI authorize the Department of Health and Human Services to accept and expend Federal Funds in the amount of \$15,990,040 and Other Funds in the amount of \$8,169,802.

The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2015.

<u>From: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division for Children, Youth and Families	Various	\$ (6,586,895)
Division for Homeless Housing Services	Various	\$ (20,000)
Division of Child Support Services	Various	\$ (212,580)
Division of Family Assistance	Various	\$ (256,091)
Division of Client Services	Various	\$ (1,249,943)
Office of Medicaid Business and Policy	Various	\$ (5,643,750)
Bureau of Elderly and Adult Services	Various	\$ (5,120,525)
Division of Community Based Care Services	Various	\$ (147,183)
Division of Public Health Services	Various	\$ (1,848,369)
Glencliff Home for the Elderly	Various	\$ (479,212)
Bureau of Behavioral Health	Various	\$ (15,960,878)
Bureau of Developmental Services	Various	\$ (6,192,561)
New Hampshire Hospital	Various	\$ (121,078)
Office of the Commissioner	Various	\$ (43,315)
Office of Improvement and Integrity	Various	\$ (320,336)
Office of Operations Support and Program Integrity	Various	\$ (30,497)
Total Department of Health and Human Services		\$ (44,233,213)
<u>To: (Various Accounts):</u>	<u>Account</u>	<u>Amount</u>
Division of Client Services	Various	\$ 684,277
Office of Medicaid Business and Policy	Various	\$ 42,049,603
Bureau of Behavioral Health	Various	\$ 1,499,333
Total Department of Health and Human Services		\$ 44,233,213

EXPLANATION

This transfer is necessary to implement the Department's reduction plan for Fiscal Year 2015 budgetary shortfalls as identified and described in the November 2014 DHHS Dashboard that was separately submitted to the Fiscal Committee this same date. The transfer will enable the Department to cover shortfalls that resulted from a number of developments, namely:

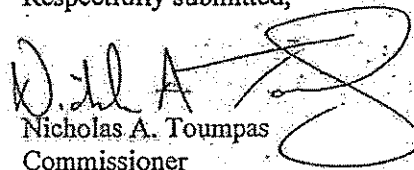
- Changes in federal eligibility standards for Medicaid that have resulted in growth in the Medicaid caseload separate and apart from the New Hampshire Health Protection Program;
- Administrative (start-up and operational) costs for New Hampshire Health Protection Program that were not funded with the enactment of the program;
- Delayed implementation of Medicaid Care Management;
- The funding requirements of the Community Mental Health Agreement that resulted from a federal lawsuit;
- Required changes to the Department's information systems, including to meet federal certification requirements and to comply with new nationwide Medicaid coding criteria; and

Without this transfer, appropriations will be insufficient to allow the Department to make payments to providers, including payments to Managed Care Organizations, which will impact the service delivery to clients.

The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

- A. Justification: See the attached appendix for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects? This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level? This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory. The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer. See the attached worksheet for the source of funds for all accounts.
- F. Will there be any effect on revenue if this transfer is not approved? There is no anticipated effect on revenue as a result of this transfer. Federal participation in Department expenditures is detailed in the attached appendix.
- G. Are funds expected to lapse if this transfer is not approved? It is anticipated that some funds will lapse whether this transfer is approved or not.
- H. Are personnel services involved? No positions are being transferred as a result of this request.

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

Other	Account	General Funds Only			Net	Account
	From	From	To	Net	FF/Oth	To
Division for Children, Youth and Families	Various	(6,586,895)	-	(6,586,895)	(3,500,222)	Various
Office of Minority Health and Refugee Affairs	Various	-	-	-	-	Various
Division for Homeless Housing Services	Various	(20,000)	-	(20,000)	-	Various
Division of Child Support Services	Various	(212,580)	-	(212,580)	(562,420)	Various
Division of Family Assistance	Various	(256,091)	-	(256,091)	(414,668)	Various
Division of Client Services	Various	(1,249,943)	684,277	(565,666)	820,500	Various
Office of Medicaid Business and Policy	Various	(5,643,750)	42,049,603	36,405,853	53,046,793	Various
Bureau of Elderly and Adult Services	Various	(5,120,525)	-	(5,120,525)	(4,552,611)	Various
Division of Community Based Care Services	Various	(147,183)	-	(147,183)	(7,462)	Various
Division of Public Health Services	Various	(1,848,369)	-	(1,848,369)	(70,008)	Various
Glenclyff Home	Various	(479,212)	-	(479,212)	(142,626)	Various
Bureau of Behavioral Health	Various	(15,960,878)	1,499,333	(14,461,545)	(15,812,063)	Various
Bureau of Developmental Services	Various	(6,192,561)	-	(6,192,561)	(4,061,121)	Various
New Hampshire Hospital	Various	(121,078)	-	(121,078)	(101,430)	Various
Office of the Commissioner	Various	(43,315)	-	(43,315)	(27,116)	Various
Office of Improvement and Integrity	Various	(320,336)	-	(320,336)	(407,521)	Various
Office of Administration	Various	-	-	-	-	Various
Office of Operations Support and Program Integrity	Various	(30,497)	-	(30,497)	(51,233)	Various
Total Department of Health and Human Services		(44,233,213)	44,233,213	0	24,156,794	

1	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	
2							Increase/ Decrease Amount	Net Genl Fund by Om. Code	Net Genl Fund by Agency	GF Amount			Transfer Amount OF				50%		
3											S/T	FF		GF		FF	50%	GF	
4	COMPANY	ACCOUNTING UNIT	CLASS	ACCOUNT															
9	Child Protection																		
10	010	042	29570000	000	408050	Federal Funds	(84,653)												
11	010	042	29570000			Other Funds													
12	010	042	29570000			General Funds	(141,986)	\$	(141,986)										
13	Total Revenue																		
14							(236,644)												
15	010	042	29570000	010	500100	Personal Services-Perm. C	(236,644)	\$											
16	010	042	29570000	060	500602	Benefits		\$	(141,986)				\$	(84,653)	\$		\$	(141,986)	40%
17	Total Expense																		
18							(236,644)				\$	(141,986)							40%
19	Child & Family Services																		
20	010	042	29580000	000	404624	Federal Funds													
21	010	042	29580000	007	407139	Private Local Funds	(4,708,000)	\$											
22	010	042	29580000			General Funds	(3,892,000)	\$	(3,892,000)										
23	Total Revenue																		
24							(8,600,000)												
25	010	042	29580000	108	500751	Provider Payments Legal	(100,000)	\$											
26	010	042	29580000	534	500375	Foster Care Services	(1,000,000)	\$											
27	010	042	29580000	534	500375	Adoption Services	(1,600,000)	\$											
28	010	042	29580000	963	500915	Community Based Services	(6,900,000)	\$											
29	Total Expense																		
30							(8,600,000)				\$	(3,892,000)							
31	Child Development Program																		
32	010	042	29770000	000	403979	Federal Funds	1,500,000	\$											
33	010	042	29770000			Other Funds		\$											
34	010	042	29770000			General Funds	(1,500,000)	\$	(1,500,000)										
35	Total Revenue																		
36																			
37	010	042	29770000	836	500377	Employment Related Child Care		\$	(1,500,000)										
38	Total Expense																		
39											\$	(1,500,000)							
40	Child Care Development-Quality Assurance																		
41	010	042	29780000	000	403841	Federal Funds	(56,697)	\$											
42	010	042	29780000			Other Funds		\$											
43	010	042	29780000			General Funds		\$											
44	Total Revenue																		
45							(56,697)												
46	010	042	29780000	010	500100	Personal Services-Perm. C	(56,697)	\$											
47	Total Expense																		
48							(56,697)				\$								100%
49	Juvenile Field Services																		
50	010	042	79050000	000	408044	Federal Funds	(129,317)												
51	010	042	79050000			Other Funds													
52	010	042	79050000			General Funds	(274,295)	\$	(274,295)										
53	Total Revenue																		
54							(403,612)												
55	010	042	79050000	010	500100	Personal Services-Perm. C	(403,612)	\$											
56	010	042	79050000	060	500602	Benefits		\$	(274,295)										
57	Total Expense																		
58							(403,612)				\$	(274,295)							32.04%
59																			67.96%
60	BYSC Business Office																		
61	010	042	79100000			Federal Funds	(8,502)												
62	010	042	79100000	007	403376	Other Funds													
63	010	042	79100000			General Funds	(18,158)	\$	(18,158)										
64	Total Revenue																		
65							(26,660)												
66	010	042	79100000	010	500100	Personal Services-Perm. C	(26,660)	\$											
67	010	042	79100000	060	500602	Benefits		\$	(18,158)										
68	Total Expense																		
69							(26,660)				\$								31.89%
70																			68.11%
71	Maintenance																		
72	010	042	79140000			Federal Funds													
73	010	042	79140000			Other Funds													
74	010	042	79140000			General Funds	(49,504)	\$	(49,504)										
75	Total Revenue																		
76							(49,504)												
77	010	042	79140000	010	500100	Personal Services-Perm. C	(49,504)	\$											
78	010	042	79140000	060	500602	Benefits		\$											
																			0%
																			100%

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
							Increase/ Decrease Amount	Net Genl Fund by Org. Code	Net Genl Fund By Agency	Gr Amount	S/T	FF	Transfer Amount OF	GF	FF	OF	GF	
79	Total Expense																	
80																		
81	Health Services																	
82	010	042	79150000															
83	010	042	79150000															
84	010	042	79150000															
85	Total Revenue																	
86																		
87	010	042	79150000	010	500100	Personal Services-Perm. C												
88	010	042	79150000	060	500802	Benefits												
89	Total Expense																	
90																		
91	Rehabilitative Education																	
92	010	042	79170000															
93	010	042	79170000	009	407034	Other Funds												
94	010	042	79170000															
95	Total Revenue																	
96																		
97	010	042	79170000	010	500100	Personal Services-Perm. C												
98	010	042	79170000	060	500502	Benefits												
99	Total Expense																	
100																		
101																		
102	TOTAL DIVISION FOR CHILDREN, YOUTH AND FAMILIES																	
103																		
104																		
105																		
106																		
107																		
108																		
109																		
110																		
111																		
112	TOTAL OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS																	
113																		
114																		
115																		
116																		
117																		
118																		
119																		
120																		
121																		
122																		
123	Emergency Shelters																	
124	010	042	79280000	000		Federal Funds												
125	010	042	79280000			Other Funds												
126	010	042	79280000			General Funds												
127	Total Revenue																	
128																		
129	010	042	79280000	010	500100	Salaries												
130	010	042	79280000	060	500510	Benefits												
131	010	042	79280000	102	500200	Contracts for Program Ser												
132	Total Expense																	
133																		
134	TOTAL DIVISION OF HOMELESS HOUSING SERVICES																	
135																		
136																		
137																		
138																		
139																		
140																		
141																		
142	Child Support Services																	
143	010	042	79290000	000	403955	Federal Funds												
144	010	042	79290000	009	407126	Other Funds												
145	010	042	79290000			General Funds												
146	Total Revenue																	
147																		
148	010	042	79290000	010	500100	Salaries												
149	010	042	79290000	060	500109	Personal Services-Tempor												
150	010	042	79290000	060	500610	Benefits												
151	Total Expense																	
152																		
153	Child Support Legal																	
154	010	042	79300000	000	403955	Federal Funds												
155	010	042	79300000	009	407126	Other Funds												
156	010	042	79300000			General Funds												
157	Total Revenue																	
158																		
159	010	042	79300000	010	500100	Salaries												
160	010	042	79300000	060	500610	Benefits												
161	Total Expense																	
162																		
163	TOTAL DIVISION OF CHILD SUPPORT SERVICES																	
164																		
165																		
166																		
167	Directors Office																	
168	010	045	81250000	000	403950	Federal Funds												
169	010	045	81250000	007	409282	Other Funds												
170	010	045	81250000			General Funds												

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
							Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	FF	FF	Transfer Amount DF	GF	FF	SDP GF	GF	
211	Total Revenue																	
212							\$ (164,785)											
213	010	045	61250000	010	509100	Personal Services Perm	\$											
214	010	045	61250000	103	502508	EBT Contracts	\$ (164,785)											
215	Total Expense																	
216							\$ (164,785)											
217	Employment Support																	
218	010	045	61270000	000	403719	Federal Funds	\$ (336,158)											
219	010	045	61270000			Other Funds	\$											
220	010	045	61270000			General Funds	\$ (169,804)	\$ (169,804)										
221	Total Revenue																	
222							\$ (505,962)											
223	010	045	61270000	010	500100	Personal Services Perm	\$ (31,760)											
224	010	045	61270000	102	500731	Contracts for Program Serv	\$ (474,175)											
225	010	045	61270000	502	500891	Payments to Providers	\$											
226	Total Expense																	
227							\$ (505,962)											
228																		
229	TOTAL DIVISION OF FAMILY ASSISTANCE																	
230																		
231																		
232																		
233	Field Operations																	
234	010	045	79930000	000	403959	Federal Funds	\$ 615,079											
235	010	045	79930000	007	408282	Other Funds	\$ 724,909											
236	010	045	79930000			General Funds	\$ (81,129)	\$ (81,129)										
237	Total Revenue																	
238							\$ 1,258,859											
239	010	045	79930000	010	500100	Personal Services Perm	\$ (724,909)											
240	010	045	79930000	010	500100	Personal Services Perm	\$ 1,258,859											
241	010	045	79930000	070	500707	In-state Travel	\$											
242	Total Expense																	
243							\$ (51,129)											
244	OCYF Field Operations																	
245	010	045	79940000	000	404871	Federal Funds	\$ (17,338)											
246	010	045	79940000			Other Funds	\$											
247	010	045	79940000			General Funds	\$ (26,006)	\$ (26,006)										
248	Total Revenue																	
249							\$ (43,344)											
250	010	045	79940000	010	500100	Personal Services Perm	\$ (43,344)											
251	Total Expense																	
252							\$ (43,344)											
253	Client Eligibility & Enroll Ops (MCS)																	
254	010	045	79960000	000	403951	Federal Funds	\$ (439,068)											
255	010	045	79960000			Other Funds	\$											
256	010	045	79960000			General Funds	\$ (434,503)	\$ (434,503)										
257	Total Revenue																	
258							\$ (873,591)											
259	010	045	79960000	010	500100	Personal Services Perm	\$ 76,409											
260	010	045	79960000	512	500352	Transportation of Clients	\$ (550,000)											
261	Total Expense																	
262							\$ (873,591)											
263	Disability Determination Unit																	
264	010	045	79970000	000	404997	Federal Funds	\$ (63,062)											
265	010	045	79970000			Other Funds	\$											
266	010	045	79970000			General Funds	\$ (24,028)	\$ (24,028)										
267	Total Revenue																	
268							\$ (87,090)											
269	010	045	79970000	046	500462	Med Consultation Non-Bene	\$ (87,091)											
270	Total Expense																	
271							\$ (87,091)											
272	TOTAL DIVISION OF CLIENT SERVICES																	
273																		
274																		
275	Medicaid Administration																	
276	010	047	79370000	000	403951	Federal Funds	\$ (930,930)											
277	010	047	79370000			Other Funds	\$											
278	010	047	79370000			General Funds	\$ (1,000,000)	\$ (1,000,000)										
279	Total Revenue																	
280							\$ (930,930)											
281	010	047	79370000	040	500900	Indirect Costs	\$ 70,000											
282	010	047	79370000	041	500901	Audit Set Aside	\$ (80)											
283	010	047	79370000	102	500731	Contracts for Program Serv	\$ (1,000,000)											
284	Total Expense																	
285							\$ (1,930,930)											
286	State Phase Down																	

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
							Increase/Decrease Amount	Net Gen Fund by Org. Code	Net Gen Fund by Agency	GF Amount	S/F	FF	Transfer Amount GF	GF	FF	S/F	GF	GF
314	010	047	79390000			General Funds	(\$1,800,000)	(\$1,800,000)										
315	Total Revenue																	
316																		
317	010	047	79390000	503	500892	State Phase Down	(\$1,800,000)			(\$1,800,000)				(\$1,800,000)		0%	0%	100%
318	Total Expense																	
319																		
320	Provider Payments																	
321	BCC Program:																	
322																		
323																		
324	010	047	79410000	000	403978	Federal Funds	(\$2,098,346)											
325	010	047	79410000			Other Funds	\$											
326	010	047	79410000			General Funds	(\$1,128,750)	(\$1,128,750)										
327	Total Revenue																	
328																		
329	010	047	79410000	041	500801	Audit Set Aside	(\$2,098)					(\$2,098)				100.00%	0.00%	0.00%
330	010	047	79410000	180	500728	Prescription Drug Expense	(\$356,000)			(\$122,500)		(\$227,500)				65.00%	0.00%	35.00%
331	010	047	79410000	101	500729	Provider Payments	(\$975,000)			(\$306,250)		(\$668,750)				65.00%	0.00%	35.00%
332	010	047	79410000	555	500617	Outpatient Hospital	(\$7,000,000)			(\$700,000)		(\$1,500,000)				65.00%	0.00%	35.00%
333	Total Expense																	
334																		
335																		
336	010	047	79420000	000	403978	Federal Funds	450,450											
337	010	047	79420000			Other Funds	\$											
338	010	047	79420000			General Funds	50,000	50,000										
339	Total Revenue																	
340																		
341	010	047	79420000	041	500801	Audit Set Aside	450					450				100.00%	0.00%	0.00%
342	010	047	79420000	101	500729	Provider Payments	500,000			50,000		450,000				90.00%	0.00%	10.00%
343	Total Expense																	
344																		
345																		
346	010	047	79480000	000	403978	Federal Funds	47,937,861											
347	010	047	79480000			Other Funds (drug rebate)	7,673,258											
348	010	047	79480000			General Funds	40,284,603	40,284,603										
349	Total Revenue																	
350																		
351	010	047	79480000	041	500801	Audit Set Aside												
352	010	047	79480000	102	500734	Social Service Contracts	40,284,603	40,284,603				47,937,861				50.00%	8.00%	42.00%
353	Total Expense																	
354																		
355	TOTAL OFFICE OF MEDICAID BUSINESS AND POLICY																	
356																		
357																		
358																		
359																		
360																		
361																		
362	010	048	61730000	000	404362	Federal Funds	4,500,000											
363	010	048	61730000	007	407051	Other Funds	\$											
364	010	048	61730000			General Funds	(\$5,022,100)	(\$5,022,100)										
365	Total Revenue																	
366																		
367	010	048	61730000	100	500728	Prescription Drug Expense	(\$2,000,000)			(\$1,000,000)		(\$1,000,000)				50%	0%	50%
368	010	048	61730000	101	500729	Medical Payments to Provider	(\$6,000,000)			(\$3,000,000)		(\$3,000,000)				50%	0%	50%
369	010	048	61730000	503	500892	State Phase Down	(\$522,100)			(\$22,100)		(\$500,000)				0%	0.00%	100.00%
370	010	048	61730000	555	500917	Outpatient Hospital	(\$600,000)			(\$500,000)		(\$100,000)				50%	0%	50%
371	Total Expense																	
372																		
373																		
374	010	048	89310000	000	404674	Federal Funds	(\$7,444)											
375	010	048	89310000			Other Funds	\$											
376	010	048	89310000			General Funds	(\$12,481)	(\$12,481)										
377	Total Revenue																	
378																		
379	010	048	89300000	010	500100	Personal Services-Perm	49,626.00			(\$12,481)		(\$37,145)				75%	0%	25%
380	010	048	89300000	060	500602	Benefits	\$									75%	0%	25%
381	Total Expense																	
382																		
383	Field Operations																	
384	010	048	92500000	000	404825	Federal Funds	(\$15,167)											
385	010	048	92500000			Other Funds	\$											
386	010	048	92500000			General Funds	(\$85,944)	(\$85,944)										
387	Total Revenue																	
388																		
389	010	048	92500000	010	500100	Personal Services-Perm	(\$101,110)			(\$85,944)		(\$19,167)				15%	0%	85%
390	010	048	92500000	060	500602	Benefits	\$									15%	0%	85%
391	Total Expense																	
392																		
393																		

	E	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T		
							Increase/ Decrease Amount	Not Genl. Fund by Org. Code	Not Genl. Fund By Agency	QF Amount	S/T	PF	Transfer Amount OF	GF	FF	GF	GF	GF		
637	010	090	51900000			Other Funds	\$													
638	010	090	51900000			General Funds	\$	(1,050,000)	\$	(1,050,000)										
639	Total Revenue						\$													
631	010	090	51900000	020	500200	Current Expense														
632	010	090	51900000	102	500731	Contracts for Program Serv	\$	(1,050,000)		\$	(1,050,000)									
633	Total Expense						\$	(1,050,000)		\$	(1,050,000)								100%	
647	FAMILY PLANNING PROGRAM																			
648	010	090	55300000	000	404700	Federal Funds	\$													
649	010	090	55300000			Other Funds	\$													
650	010	090	55300000			General Funds	\$	(300,000)	\$	(300,000)										
651	Total Revenue						\$													
653	010	090	55300000	020	500200	Current Expense														
654	010	090	55300000	102	500731	Contracts for Program Serv	\$	(300,000)		\$	(300,000)									
655	Total Expense						\$	(300,000)		\$	(300,000)									100%
712	TOTAL DIVISION OF PUBLIC HEALTH SERVICES																			
713									\$	(1,846,369)	\$	(1,846,369)	\$	(1,846,369)	\$	(70,008)	\$		(1,846,369)	
740	Professional Care																			
747	010	091	57100000	000		Federal Funds														
748	010	091	57100000			Other Funds		(142,626)												
749	010	091	57100000			General Funds		(119,212)	\$	(119,212)										
750	Total Revenue							(261,838)												
753	010	091	57100000	010	500100	Personal Services Perm	\$	(171,838)		\$	(171,838)									
754	010	091	57100000	030	500300	Equipment	\$	(40,000)		\$	(40,000)									
755	010	091	57100000	101	500729	Medical Payments to Prov	\$	(50,000)		\$	(50,000)									
756	Total Expense						\$	(261,838)		\$	(261,838)									83%
757	Custodial																			
758	010	091	57200000	000		Federal Funds														
759	010	091	57200000			Other Funds														
760	010	091	57200000			General Funds		(20,000)	\$	(20,000)										
761	Total Revenue							(20,000)		\$	(20,000)									
762	Total Expense							(20,000)		\$	(20,000)									100%
766	Maintenance																			
767	010	091	78920000	000		Federal Funds														
768	010	091	78920000			Other Funds														
769	010	091	78920000			General Funds		(340,000)	\$	(340,000)										
770	Total Revenue							(340,000)												
772	010	091	79620000	032	500284	Fuel		(230,000)		\$	(230,000)									
773	010	091	79920000	030	500306	Equipment		(110,000)		\$	(110,000)									
774	Total Expense							(340,000)		\$	(340,000)									100%
776	TOTAL GLENCLIFF HOME																			
777									\$	(479,212)	\$	(479,212)	\$	(479,212)	\$			(142,626)	\$	(479,212)
780	Consumer and Family Affairs																			
781	010	092	30680000			Federal Funds														
782	010	092	30680000			Other Funds														
783	010	092	30680000			General Funds		(50,981)	\$	(50,981)										
784	Total Revenue							(50,981)												
786	010	092	30680000	010	500100	Personal Services Perm	\$	(50,981)		\$	(50,981)									
787	010	092	30680000	060	500802	Benefits														
788	Total Expense							(50,981)		\$	(50,981)									0%
790	CMH Program Support																			
791	010	092	59450000	000	408147	Federal Funds		(35,890)												
792	010	092	59450000			Other Funds														
793	010	092	59450000			General Funds		(1,430,051)	\$	(1,430,051)										
794	Total Revenue							(1,465,941)												
795	010	092	59450000	010	500100	Personal Services Perm	\$	(104,972)		\$	(104,972)									
796	010	092	59450000			Other Funds														
797	010	092	59450000			General Funds		(35,890)	\$	(35,890)										
798	Total Expense							(104,972)		\$	(104,972)									34%
799	010	092	59450000			Other Funds														
800	010	092	59450000			General Funds														
801	Total Revenue																			

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T			
							Increase/ Decrease Amount	Net Gen/ Fund by Org. Code	Net Gen/ Fund by Agency	GF Amount			Transfer Amount								
													FF	OF	GF	FF	OF	GF			
797	010	092	59450000	060	500002	Benefits	\$														
79X	010	092	59450000	102	500731	Contracts for Program Ser	\$	1,499,333		\$	1,499,333					34%	66%				
799	Total Expense						\$	1,394,301		\$	1,430,051				\$	1,499,333	0%	9%	100%		
800	Financial Mgt Audits																				
802	010	092	70010000	000	404560	Federal Funds	\$	(8,915)													
803	010	092	70010000			Other Funds	\$	-													
804	010	092	70010000			General Funds	\$	(20,803)	\$	(20,803)											
805	Total Revenue						\$	(28,718)													
806																					
807	010	092	70010000	010	500100	Personal Services Perm	\$	(20,718)													
808	010	092	70010000	060	500602	Benefits	\$	-		\$	(20,803)	\$	(8,915)	\$	-	\$	(20,803)	30%	0%	70%	
809	Total Expense						\$	(28,718)		\$	(20,803)	\$	-	\$	-	\$	-	\$	30%	0%	70%
810							\$			\$	(20,803)	\$	-	\$	-	\$	-	\$			
811	Community Mental Health Svcs																				
812	010	092	70100000	000	404664	Federal Funds	\$	(15,750,000)													
813	010	092	70100000			Other Funds	\$	-													
814	010	092	70100000			General Funds	\$	(15,750,000)	\$	(15,750,000)											
815	Total Revenue						\$	(31,500,000)													
816																					
817	010	092	70100000	502	500891	Payments to Providers	\$	(31,500,000)		\$	(15,750,000)	\$	(15,750,000)	\$	-	\$	(15,750,000)	50%	0%	50%	
818	Total Expense						\$	(31,500,000)		\$	(15,750,000)	\$	(15,750,000)	\$	-	\$	(15,750,000)				
819							\$			\$	(15,750,000)	\$	(15,750,000)	\$	-	\$	(15,750,000)				
820	Office of Director																				
821	010	092	78770000	000	406762	Federal Funds	\$	(17,458)													
822	010	092	78770000			Other Funds	\$	-													
823	010	092	78770000			General Funds	\$	(69,832)	\$	(69,832)											
824	Total Revenue						\$	(87,290)													
825																					
826	010	092	78770000	010	500100	Personal Services Perm	\$	(67,290)													
827	010	092	78770000	060	500602	Benefits	\$	-		\$	(69,832)	\$	(17,458)	\$	-	\$	(69,832)	20%	0%	80%	
828	Total Expense						\$	(67,290)		\$	(69,832)	\$	-	\$	-	\$	-	\$	20%	0%	80%
829							\$			\$	(69,832)	\$	-	\$	-	\$	-	\$			
830	TOTAL BUREAU OF BEHAVIORAL HEALTH								\$	(14,461,545)	\$	(14,461,545)	\$	(14,461,545)	\$	(18,812,063)	\$	-	\$	(14,461,545)	
831									\$	(14,461,545)	\$	(14,461,545)	\$	(18,812,063)	\$	-	\$	(14,461,545)			
832																					
833																					
834	Special Medical Services																				
835	010	093	51910000	000	404669	Federal Funds	\$	(16,121)													
836	010	093	51910000			Other Funds	\$	-													
837	010	093	51910000			General Funds	\$	(237,617)	\$	(237,617)											
838	Total Revenue						\$	(253,738)													
839																					
840	010	093	51910000	010	500100	Personal Services Perm	\$	(53,738)													
841	010	093	51910000	581	500811	Specialty Clinics	\$	(100,000)		\$	(37,617)	\$	(16,121)	\$	-	\$	(37,617)	30%	0%	70%	
842	010	093	51910000	562	500912	Children with Spec Hlth Ca	\$	(100,000)		\$	(100,000)	\$	-	\$	-	\$	(100,000)	0%	0%	100%	
843	Total Expense						\$	(253,738)		\$	(237,617)	\$	-	\$	-	\$	(100,000)	0%	0%	100%	
844							\$			\$	(237,617)	\$	-	\$	-	\$	(100,000)				
845	Family Support Services																				
846	010	093	70130000	000		Federal Funds	\$	-													
847	010	093	70130000			Other Funds	\$	-													
848	010	093	70130000			General Funds	\$	(1,381,432)	\$	(1,381,432)											
849	Total Revenue						\$	(1,381,432)													
850																					
851	010	093	70130000	102	500731	Contracts for Program Svcs	\$	(1,381,432)		\$	(1,381,432)										
852	Total Expense						\$	(1,381,432)		\$	(1,381,432)	\$	-	\$	-	\$	(1,381,432)	0%	0%	100%	
853							\$			\$	(1,381,432)	\$	-	\$	-	\$	(1,381,432)				
854	Early Intervention																				
855	010	093	70140000	000	406738	Federal Funds	\$	-													
856	010	093	70140000			Other Funds	\$	-													
857	010	093	70140000			General Funds	\$	(528,512)	\$	(528,512)											
858	Total Revenue						\$	(528,512)													
859																					
860	010	093	70140000	102	500731	Contracts for Program Svcs	\$	(528,512)		\$	(528,512)										
861	Total Expense						\$	(528,512)		\$	(528,512)	\$	-	\$	-	\$	(528,512)	0%	0%	100%	
862							\$			\$	(528,512)	\$	-	\$	-	\$	(528,512)				
863	Developmental Services																				
864	010	092	71000000	000	403793	Federal Funds	\$	(4,045,000)													
865	010	093	71000000			Other Funds	\$	-													
866	010	093	71000000			General Funds	\$	(4,045,000)	\$	(4,045,000)											
867	Total Revenue						\$	(8,090,000)													
868																					
869	010	093	71000000	557	500906	Medicaid Waiver Services	\$	(8,090,000)		\$	(4,045,000)	\$	(4,045,000)	\$	-	\$	(4,045,000)	50%	0%	50%	
870	Total Expense						\$	(8,090,000)		\$	(4,045,000)	\$	-	\$	-	\$	(4,045,000)				
871							\$			\$	(4,045,000)	\$	-	\$	-	\$	(4,045,000)				
872	TOTAL BUREAU OF DEVELOPMENTAL SERVICES								\$	(6,192,561)	\$	(6,192,561)	\$	(4,061,121)	\$	-	\$	(6,192,561)			
873									\$	(6,192,561)	\$	(6,192,561)	\$	(4,061,121)	\$	-	\$	(6,192,561)			
874																					

	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T			
								Increase/ Decrease Amount	Net Gen'l Fund by Obj. Code	Net Gen'l Fund By Agency	GF Amount	S/C	FF	Transfer Amount GF	GF	FF	SCF OF	GF			
875																					
876	ADMINISTRATION																				
877	010	094	84000000	000	404444	Federal Funds	\$	(10,300)													
878	010	094	84000000			Other Funds	\$														
879	010	094	84000000			General Funds	\$	(20,318)	\$	(20,318)											
880	Total Revenue																				
881							\$	(30,618)													
882	010	094	84000000	010	500100	Personal Services Perm	\$	(30,618)			\$	(20,318)	\$	(10,300)	\$	-	\$	(20,318)	34%	0%	66%
883	Total Expense																				
884							\$	(30,618)			\$	(20,318)									
885	FACILITY/PATIENT SUPPORT																				
886	010	094	84100000	000	404448	Federal Funds	\$	(36,338)													
887	010	094	84100000	007	402134	Other Funds	\$	(6,270)													
888	010	094	84100000			General Funds	\$	(82,838)	\$	(82,838)											
889	Total Revenue																				
890							\$	(125,512)													
891	010	094	84100000	010	500100	Personal Services Perm	\$	(125,512)			\$	(82,838)	\$	(38,398)	\$	(6,270)	\$	(82,838)	25%	5%	66%
892	Total Expense																				
893							\$	(125,512)			\$	(82,838)									
894	ACUTE PSYCHIATRIC SERVICES																				
895	010	094	84100000	000	404434	Federal Funds	\$	(19,913)													
896	010	094	84100000	009	405921	Other Funds	\$	(28,543)													
897	010	094	84100000			General Funds	\$	(17,922)	\$	(17,922)											
898	Total Revenue																				
899							\$	(66,378)													
900	010	094	84,100,000	010	500100	Personal Services Perm	\$	(66,378)			\$	(17,922)	\$	(19,913)	\$	(28,543)	\$	(17,922)	30%	43%	27%
901	Total Expense																				
902							\$	(66,378)			\$	(17,922)									
903	TOTAL NEW HAMPSHIRE HOSPITAL																				
904									\$	(121,078)	\$	(121,078)	\$	(121,078)	\$	(66,811)	\$	(34,819)	\$	(121,078)	
905																					
906																					
907																					
908	Office of Business Operations																				
909	010	095	56760000	000	403870	Federal Funds	\$	(27,116)													
910	010	095	56760000			Other Funds	\$														
911	010	095	56760000			General Funds	\$	(43,315)	\$	(43,315)											
912	Total Revenue																				
913							\$	(70,431)													
914	010	095	56760000	010	600100	Personal Services Perm	\$	(70,431)			\$	(43,315)	\$	(27,116)	\$	-	\$	(43,315)	39%		62%
915	010	095	56760000	020	600200	Current Expense	\$				\$		\$		\$						
916	010	095	56760000	050	500109	Personnel - Temporary	\$				\$		\$		\$						
917	010	095	56760000	070	600704	In-State Travel	\$				\$		\$		\$						
918	Total Expense																				
919							\$	(70,431)			\$	(43,315)									
920	TOTAL OFFICE OF THE COMMISSIONER																				
921									\$	(43,315)	\$	(43,315)	\$	(43,315)	\$	(27,116)	\$	-	\$	(43,315)	
922																					
923	Office of Improvement and Integrity																				
924	010	095	79350000	000	404460	Federal Funds	\$	(407,521)													
925	010	095	79350000			Other Funds	\$														
926	010	095	79350000			General Funds	\$	(320,336)	\$	(320,336)											
927	Total Revenue																				
928							\$	(727,857)													
929	010	095	79350000	010	500100	Regular Salary	\$	(518,000)			\$	(233,100)	\$		\$			(233,100)	55%	0%	45%
930	010	095	79350000	010	500100	Personal Services Perm	\$	(38,856.75)			\$	(17,489)	\$	(21,371)	\$			(17,486)	55%	0%	45%
931	010	095	79350000	020	500200	Current Expense	\$				\$		\$		\$						
932	010	095	79350000	022	500222	Lease - Copier	\$				\$		\$		\$						
933	010	095	79350000	030	500301	Equipment	\$				\$		\$		\$						
934	010	095	79350000	040	500800	Indirect	\$				\$		\$		\$						
935	010	095	79350000	042	500620	Additional Fndg Benefits	\$				\$		\$		\$						
936	010	095	79350000	050	500109	Part Time Positions	\$	(135,000.00)			\$	(60,750)	\$	(74,250)	\$			(60,750)	45%	0%	45%
937	010	095	79350000	060	500602	Benefits	\$				\$		\$		\$						
938	010	095	79350000	103	500737	Contracts for Ops Services	\$	(38,000.00)			\$	(0,000)	\$	(27,000)	\$			(9,000)	75%	0%	25%
939	Total Expense																				
940							\$	(727,857)			\$	(320,336)									
941	TOTAL OFFICE OF IMPROVEMENT AND INTEGRITY																				
942									\$	(320,336)	\$	(320,336)	\$	(320,336)	\$	(407,521)	\$	-	\$	(320,336)	
943																					
944																					
945																					
946																					
947																					
948																					
949	LEGAL SERVICES																				
950	010	095	56800000	000	404714	Federal Funds	\$	(43,676)													
951	010	095	56800000		407234	Other Funds	\$														

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2015**

DIVISION FOR CHILDREN, YOUTH & FAMILIES (DCYF)

05-95-042-421010-29570000

Child Protection

Funding in this organization code represents the costs associated with the staff of Bureau of Child Protection that provide direct services to abused and neglected children. This transfer will take projected surplus in the Salaries line items in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of funds: 40% Federal Funds (various federal programs through cost allocation) and 60% General Funds.

05-95-042-421010-29580000

Child and Family Services

Funding in this organization represents costs associated with purchased services for Abuse and Neglect, CHINS and Delinquent clients. These services include board and care, as well as, community-based services as ordered by the courts. The transfers in this appropriation will move projected surpluses in this account to other areas of the Department. The change in the definition of CHINS modified the expenditures differently than anticipated at the time the budget was established, which created the projected surpluses. The source of funds in this account differ line item to line item, based on the client's eligibility and the federal eligibility for the service. Source of funds: 68% Federal and 32% General Funds for the Provider Payment Legal line item; 80% Federal and 20% General Funds for the Foster Care Services line item; and 56% Federal Funds and 44.00% General Funds for the Adoption Services line item, and 50% Federal and 50% General for the Community-Based Services line item.

05-95-042-421110-29770000

Child Development Programs

Funding in this organization code represents the costs associated with the payments of providers for child care services. This transfer will transfer in federal funds to provide general funds available for other areas of the Department. Source of funds: 100% Federal (TANF).

05-95-042-421110-29780000

Child Care Development-Quality Assurance

Funding in this organization code represents the costs associated with recruitment and training of child care facilities. This transfer will take projected surplus in the Salaries line item in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of funds: 100% Federal Funds (CCDF) for the line items mentioned above.

05-95-042-421510-79100000

SYSC Business Office

Funding in this organization represents costs associated with the Business Office staff and business operations at the Sununu Youth Services Center. This transfer will take projected surplus in the Salaries line items in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of funds: 31.89% Federal, 68.11% General.

05-95-042-79140000

Maintenance

Funding in this organization represents costs associated with the operations and maintenance of the John H. Sununu Youth Services Center campus. As the result of the failure and/or partial failure of several critical components in varying building systems (HVAC, Access Control, and structural repair), there are projected deficits in the Maintenance Other Than Build-Grn, Own Forces Maintenance, and Contractual Maintenance line items. This transfer will take projected surplus in the Salaries line items in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of Funds: 100% General.

05-95-042-79150000

Health Services

Funding in this organization represents costs associated with the medical services provided to the residents of the John H. Sununu Youth Services Center. This transfer will take projected surplus in the Salaries line item in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of Funds: 100% General.*

05-95-042-79170000

Rehabilitative Education

Funding in this organization represents costs associated with the education of the residents at the John H. Sununu Youth Services Center. This transfer will take projected surplus in the Salaries line items in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of funds: 78.82% General, and 21.18% Other.

DIVISION OF HOMELESS HOUSING SERVICES

05-95-042-423010-79280000

Emergency Shelters

This accounting unit is the operating account for the Bureau of Homeless and Housing Services (BHHS). Funds are available in Salaries (class 010) and Benefits (class 060) due to staff changes during the current biennium. Funds are also available in Contracts for Program Services (class 102) due to a provider opting not to accept a contract with the Bureau. Source of Funds is 100% General Funds.

DIVISION OF CHILD SUPPORT SERVICES

05-95-042-427010-79290000

Child Support Services

Funding in this organization represents the costs associated with the operation of the Division of Child Support Services. Funds are available in Salaries (class 010 and 050) line items due to staff changes during the current biennium. This transfer will take projected surplus to help fund projected deficits in the Division. Source of Funds: 27% General, 66% Federal, 7% Other funds.

05-95-042-427010-79300000

Child Support Legal

Funding in this organization represents the costs associated with the operation of the Division of Child Support Services, Legal. Funds are available in Salaries (class 010) line items due to staff changes during the current biennium. This transfer will take projected surplus to help fund projected deficits in the Division. Source of Funds: 30% General, 66% Federal, 4% Other funds.

DIVISION OF FAMILY ASSISTANCE

05-95-045-450010-61250000

Director's Office

Funding in this organization represents costs associated with the administration of the Division and its programs. This transfer decreases Class 103 EBT Contracts. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 48% Federal, 52% General.

05-95-045-450010-61270000

Employment Support

Funding in this organization represents costs associated with the administration of the New Hampshire Employment Program (NHEP). This transfer decreases Class 010, Personal Services Perm and Class 102 Contracts from Program Services. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: Class 010 52% Federal, 48% General, Class 102 67% Federal, 33% General.

DIVISION OF CLIENT SERVICES

05-95-045-451010-79930000

Client Svcs – DFA Field Svcs

Funding in this organization represents costs associated with the staff in the district offices providing direct services to the clients of New Hampshire. This transfer increases Class 010,

Salaries. In addition, this transfer utilizes the bonus awarded the Department by the USDA for participation in the Food Stamp Program as the General Fund share of some expenses. This transfer will take projected surplus from other departments to help fund projected deficits in this class line due to the successful implementation of the New Hampshire Health Protection Program. Source of Funds: Class 010 49% Federal Funds, 51% General Funds.

05-95-045-451010-79940000

Client Svcs – DCYF FLD OPS PG ELB

Funding in this organization code represents the costs associated with the eligibility determination/revenue enhancement staff for DCYF. This transfer decreases Class 010, Salaries. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 40% Federal Funds, 60% General Funds.

05-95-045-451010-79960000

Client Elig & Enrollment Operations

Funding in this appropriation primarily represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. This transfer decreases Class 010, Salaries and Class 512, Transportation of Clients. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: Class 010 47% Federal, 53% General, Class 512 50% Federal, 50% General.

05-95-045-451010-79970000

Disability Determination Unit

Funding in this organization primarily represents costs associated with the Disability Determination Unit serving citizens throughout New Hampshire. This transfer decreases Class 046, Medical Consultants Non-Benefit. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 72% Federal, 28% General.

OFFICE OF MEDICAID & BUSINESS POLICY

010-95-047-470010-79370000

Medicaid Administration

Funding in this appropriation represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. Funds are available in 102 Contracts for Program Services. Source of Funds: Class 102 Contracts for Program Services 50% Federal, 50% General.

05-95-047-470010-79390000

State Phase Down

Funding in this organization represents costs associated with the Medicaid payments to provide for the amount that is paid by the State to Centers for Medicare and Medicaid (CMS) to defray a portion of the Medicare drug expenditures for the Medicaid members that also have Medicare

coverage for their prescription drugs. Funds are available due to less than projected growth in utilization for this population. Source of Funds: Class 503 State Phase Down 100% General.

05-95-047-470010-79400000

Provider Payments

Funding in this appropriation represents costs associated with the Medicaid payments to healthcare providers that deliver healthcare services to New Hampshire's Medicaid population. There is a surplus in Class 100 Prescription Drug Expenses as costs and utilization have been less than originally projected. The transfer request will be used to satisfy other deficits within the Dept. Surplus in Class 565 Outpatient Hospital will be used to cover deficit in Class 101 Provider Payment. Source of Funds: Class 041 Audit Set-aside 100% Federal; Class 100 Prescription Drug Expenses 50% Federal, 50% General, Class 101 Medical Payments to Providers 50% Federal, 50% General and Class 565 Outpatient Hospital 50% Federal, 7% General, 43% Other.

05-95-047-470010-79410000

BCC Program

Funding in this organization represents costs associated with the Medicaid payments to healthcare providers that deliver healthcare services to New Hampshire's Medicaid population. There is a surplus in Class 100 Prescription Drug Expense, Class 101 Provider Payments, and 565 Outpatient Hospital due to lower than projected costs. These surplus funds will be used to cover deficit within the Family Planning Account. Source of Funds: Class 041 Audit Set-aside 100% Federal; Class 101 Medical Payments to Providers 65% Federal, 35% General; Class 565 Outpatient Hospital, 65% Federal, 35% General.

05-95-047-470010-79420000

Family Planning

Funding in this organization represents costs associated with the Medicaid payments to healthcare providers to deliver family planning services provided under the NH Family Planning Medical Assistance Program. Funds are needed in class 101, Medical Payments to Providers to cover family planning medical expenses. This is a new program implemented July 1, 2013 and the need and utilization for these services year-to date have been higher than what was originally projected. Source of Funds: Class 041 Audit Set-aside 100% Federal and Class 101 Medical Payments to Providers 90% Federal, 10% General.

05-95-047-470010-79480000

Medicaid Care Management

Funding in this organization costs associated with Medicaid Care Management capitation payments for clients enrolled in Medicaid Care Management. Funds are needed in class 102, Contracts for Program Services to effect payments to Managed Care Organizations. As such, funds are transferred from other accounts into this line item to cover payments for the capitation payments for medical and related behavioral health services for clients enrolled in Care Management. Source of fund: 50% Federal, 27% General, 23% Other.

DIVISION OF ELDERLY AND ADULT SERVICES

05-95-048-481510-61730000

NURSING SERVICES

Funding in this organization represents Medicaid provider payments associated with providing care for the elderly and adults with disabilities. Funds are available in Class 100 (Prescription Drug Expense), Class 101 (Medical Payments to Providers), Class 503 (State Phase Down) and Class 565 (Outpatient Hospital) due to less than anticipated expenses. Source of Funds: Classes 100, 101 and 565 - 50% Federal, 50% General; Class 503 - 95.77% General, 4.23% Other.

05-95-48-480510-89310000

NURSING STAFF

Funding in this organization represents costs associated with the determination of eligibility for BEAS services. Funds are available in Class 010 (Personal Services – Permanent) and Class 060 (Benefits) due to a vacancy. Source of Funds: 25% General and 75% Federal.

05-95-48-480510-92500000

FIELD OPERATIONS

Funding in this organization represents costs associated with direct social services to elderly and incapacitated adults. Funds are available in Class 010 (Personal Services – Permanent) and Class 060 (Benefits) due to vacancies. Source of Funds: 85% General and 15% Federal.

DIVISION OF COMMUNITY BASED CARE SERVICES

05-95-049-490510-29830000

DIRECTOR'S OFFICE

Funding in this organization represents costs associated with Division of Community Based Care Services Director's Office. Funds are available in Class 010 (Personal Services – Permanent) and Class 060 (Benefits) due to a vacancy. Source of Funds: 80% General and 20% Federal.

05-95-049-491510-29870000

PROGRAM OPERATIONS

Funding in this organization represents costs associated with Bureau of Drug and Alcohol Services Program Operations Unit. Funds are available in Class 010 (Personal Services – Permanent) and Class 060 (Benefits) due to vacancies. Source of Funds: 100% General.

DIVISION OF PUBLIC HEALTH SERVICES

05-95-090-901510-56670000

Chronic Disease - Asthma

Funding in this organization represents costs associated with the Asthma Section within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover the position being moved to another area of the Department. Source of Funds: 100% Federal.

05-95-090-902510-22230000

Boston EMA Title I, Ryan White Part A

Funding in this organization is to provide medical and case management services for persons living with HIV disease and living in the Boston EMA; Hillsborough, Rockingham and Strafford Counties. Funds have been identified as being available in TI HIV CARE Boston EMA (Class 568). Source of Funds: 100% General Funds.

05-95-090-902510-22270000

STD Prevention

Funding in this organization represents costs associated with the STD Section within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover the position being moved to another area of the Department. Source of Funds 100% Federal Funds.

05-95-090-902510-51700000

Disease Control

Funding in this organization represents costs associated with the Disease Control section within the Division of Public Health Services. Funds are available in Class 010 (Classified personnel) to cover the position being moved to another area of the Department. Source of Funds: 30% Federal, 70% General funds.

05-95-090-902010-51900000

Maternal & Child Health Services Section

Funding in this organization represents costs associated with the Maternal & Child Health Section activities within the Division of Public Health Services program within the Division of Public Health Services. Funds have been identified as available in Contracts for Program Services (Class 102). Source of Funds: 100% General Funds.

05-95-090-902010-55300000

Family Planning Program

Funding in this organization represents costs associated with the Family Planning program activities within the Division of Public Health Services. Funds have been identified as available in Contracts for Program Services (Class 102). Source of Funds: 100% General Funds.

GLENCLIFF HOME

05-095-091-910010-57100000

Professional Care

Funding in this organization represents costs associated with Professional Care Services delivered to clients. Funds are available in Salaries (class 010) line items due to staff changes during the current biennium. Funds are available in Class 030 (Equipment) and Class 101 (Medical Payments to Provider) due to expenses less than budgeted. Source of Funds: Classes 10, 60 - 17.39% General, 82.61% Other Funds; Classes 30, 101 - 100% General

05-095-091-910010-57200000

Custodial Care

Funding in this organization represents costs associated with the Dietary, Housekeeping and Laundry Services. Funds are available in Class 030 (Equipment) due to expenses less than budgeted. Source of Funds: 100% General

05-095-091-910010-78920000

Maintenance

Funding in this organization represents costs associated with the Maintenance Department. Funds are available in Class 023 (Fuel) due to continued efficiencies and Class 030 (Equipment) due to expenses less than budgeted. Source of Funds: 100% General

BUREAU OF BEHAVIORAL HEALTH

05-95-92-920010-30680000

CONSUMER AND FAMILY AFFAIRS

Funding in this accounting unit represents costs associated with the Office of Consumer and Family Affairs. Funds are available in Class 010 (Personal Services - Permanent) and Class 060 (Benefits) due to a vacancy. Source of Funds: 100% General.

05-95-92-920010-59450000

CMH PROGRAM SUPPORT

Funding in this accounting unit represents operational costs associated the Office of Community Mental Health Services. Funds are needed in Class 102 (Contracts for Program Services) to cover expenses related to the Community Mental Health Agreement. Funds are available in Class

010 (Personal Services - Permanent) due to vacancies. Source of Funds: Classes 010 and 060 - 66% General and 34% Federal, Class 102 - 100% General.

05-95-92-920010-70010000

FINANCIAL MANAGEMENT

Funding in this accounting unit represents costs associated with the Financial Management Unit. Funds are available in Class 010 (Personal Services - Permanent) due to a vacancy. Source of Funds: 70% General and 30% Federal.

05-95-92-920010-70100000

COMMUNITY MENTAL HEALTH SVCS

Funding in this accounting unit represents Medicaid costs associated with the provision of mental health services in the Community. Funds are available in Class 502 (Payments to Providers) due to the change from a fee for service payment method to managed care. Source of Funds: 50% General and 50% Federal.

05-95-92-920010-78770000

OFFICE OF DIRECTOR

Funding in this accounting unit represents costs associated with the BBH Director's Office. Funds are available in Class 010 (Personal Services - Permanent) and Class 060 (Benefits) due to a vacancy. Source of Funds: 80% General and 20% Federal.

BUREAU OF DEVELOPMENTAL SERVICES

05-95-093-930010-51910000

SPECIAL MEDICAL SERVICES

Funding in this organization represents costs associated with the Special Medical Services unit within the Bureau of Developmental Services. Funds are available in Class 010 (Personal Services - Permanent) due to the transfer of a position to another Bureau within the Department. Funds are available in Class 561 (Specialty Clinics) and Class 562 (Children with Special Health Care Needs) due to less than anticipated expenses. Source of Funds: Class 010 - 70% General, 30% Federal, Classes 561 and 562 - 100% General.

05-95-93-930010-70130000

FAMILY SUPPORT SERVICES

Funding in this accounting unit represents costs associated with Family Support services. Funds are available in Class 102 (Contracts for Program Services) due to a reduction in funding to Area Agencies. Source of Funds: 100% General.

05-95-93-930010-70140000

EARLY INTERVENTION

Funding in this accounting unit represents costs associated with Early Intervention services. Funds are available in Class 102 (Contracts for Program Services) as expenses have been less than anticipated. Source of Funds: 100% General.

05-95-93-930010-71000000

DEVELOPMENTAL SERVICES

Funding in this accounting unit represents costs associated with the Developmental Disabilities Home and Community Based Care Waiver. Funds are available in Class 557 (Medicare Waiver Services) due to the elimination of the SFY15 budgeted inflation assumption and Medicaid reallocations. Source of Funds: 50% General, 50% Federal.

NEW HAMPSHIRE HOSPITAL

05-95-094-940010-84000000

ADMINISTRATION

Funding in this organization represents costs associated with the administration of New Hampshire Hospital. This transfer decreases Class 010, Salaries. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 34% Federal Funds, 66% General Funds.

05-95-094-940010-84100000

NHH-Facility/Patient Support

Funding in this organization represents costs associated with cost of operations of the New Hampshire Hospital, facility and patient support services. This transfer decreases Class 010, Salaries. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 29% Federal Funds, 66% General Funds and 5% Other Funds.

05-95-094-940010-87500000

ACUTE PSYCHIATRIC SERVICES

Funding in this organization represents costs associated with the operations of New Hampshire Hospital, Acute Psychiatric Services. This transfer decreases Class 010, Salaries. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 31% Federal Funds, 27% General Funds and 43% Other Funds.

OFFICE OF THE COMMISSIONER

05-95-095-950010-56760000

Office of Business Operations

Funding in this organization represents costs associated with the operation of the Office of Business Operations. This transfer decreases Class 010, Salaries. This transfer will take projected surplus to help fund projected deficits in the Department. Source of Funds: 39% Federal Funds, 61% General Funds.

OFFICE OF IMPROVEMENT AND INTEGRITY

05-95-95-951010-79350000

Improvement, Integrity, Infor & Reimb

Funding in this unit represents costs associated with the audit and review of DHHS financial and medical programs, third party liability and quality assurance programs, Office of Reimbursements and Parental Reimbursement Unit and member and provider fraud. This transfer will partially fund a projected department deficit and will be covered by a projected surplus in Class (010) Permanent Salaries, Class (050) Part Time Employees and Class (060) for the Office of Improvement and Integrity available due to recruitment issues, staff turnover and retirements. Source of Funds: 55% Federal Funds (numerous federal programs through cost allocation) and 45% General Funds.

OFFICE OF PROGRAM SUPPORT

05-95-95-952010-51430000

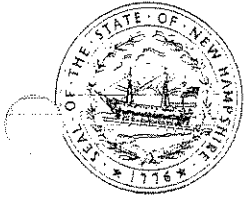
CHILD CARE LICENSING

Funding in this unit represents costs associated with the licensure and monitoring and investigation of child residential and day care facilities. This transfer will fund a projected department deficit to be supported by anticipated surpluses in Class 067 Training of Providers and from Class 103, Contracts for Ops Services due to the needs to fund a projected deficit. We anticipate that we will not need to pay for that amount of training of providers or contract services for this fiscal year. Source of Funds: 56% Federal Funds (numerous federal programs through cost allocation) and 44% General Funds.

State of New Hampshire

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964



NICHOLAS A. TOUMPAS
COMMISSIONER

January 15, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

INFORMATIONAL ITEM: Dashboard – November 2014

Information

The Department of Health and Human Services provides the monthly dashboard report to inform policy makers as to the status on demand for services in entitlement programs. The purposes of this dashboard are to:

1. Provide summary information on enrollments in several high cost programs,
2. Monitor high level fiscal issues to ensure sufficient funding is available for entitlement programs and for programs intended by the legislature, and to
3. Provide a summary of significant administrative and operations initiatives.

Included in this Dashboard is the Department's funding plan to address the current shortfalls projected to date of \$58 million.

Explanation

Mission

The Department's mission is "to join communities and families in providing opportunities for citizens to achieve health and independence." The majority of individuals serviced by the Department fall into four groups and programs to help these individuals require different approaches with differing objectives.

- Permanently Disabled Individuals include the developmentally disabled, frail elderly and those with mental health issues who require long-term care services. The objective is to help them maximize their independence, to allow, to the extent it is safe for the individual, to live within a community while recognizing that for many there will always be a need for long-term services and supports.
- Temporarily Low Income Individuals are those who have exhausted their financial resources due a loss of employment, divorce or temporary health issues. These individuals have the ability to likely recover their independence when jobs are available or their current crises are overcome with the appropriate interim supports.
- Individuals who qualify for subsidized health care under the federal Affordable Care Act receive assistance in selecting and paying for health care coverage as provided for under the New Hampshire Health Protection Program.
- Chronically Low Income Individuals are the most complex. Breaking the cycle of poverty for the chronically low income requires a commitment from public and State leaders to invest in programs that will support a coordinated Statewide effort including not only the Department of Health and Human Services but also Education, Corrections and Employment Security.

For the five months ended November 2014, the Department provided services to an average of 174,235 individuals, which was 14% higher than the previous year (Table 1). The increase is related to two changes in the Medicaid medical assistance program: 1) increases in enrollment caused by federal changes in eligibility criteria as part of the Modified Adjusted Gross Income (MAGI) methodology of the Affordable Care Act, and 2) implementation of the NH Health Protection Program. (Table 2)

Table 1
Average Enrollment (Persons) Four Months Ended November of

	2011	2012	2,013	2014
Total Unduplicated Persons	153,842	156,832	152,282	174,235
<i>Pct. Increase from Prior Year</i>	8.01%	1.94%	-2.90%	14.42%
Medicaid Persons **	119,539	129,900	128,463	154,159
<i>Pct. Increase from Prior Year</i>	3.94%	8.67%	-1.11%	20.00%
Food Stamp Persons	114,338	117,641	114,869	108,399
<i>Pct. Increase from Prior Year</i>	24.45%	2.89%	-2.36%	-5.63%
FANF Persons	11,893	8,689	7,723	6,827
<i>Pct. Increase from Prior Year</i>	-13.01%	-26.94%	-11.11%	-11.61%
APTD Persons	8,889	8,263	7,927	7,682
<i>Pct. Increase from Prior Year</i>	10.67%	-7.04%	-4.07%	-3.08%
Elderly Nursing Services	7,120	7,300	7,227	7,184
<i>Pct. Increase from Prior Year</i>	-3.33%	2.53%	-1.00%	-0.59%

Note: * Medicaid persons for 2011 does not include CHIP program.

** August 2014 was first month of enrollment for NH Health Protection Program

Table 2
Number of Individuals on Medicaid

		Vs Prior Month
Jul-13	129,255	(98)
Aug-13	129,063	(192)
Sep-13	128,364	(699)
Oct-13	128,276	(88)
Nov-13	127,359	(917)
Dec-13	126,905	(454)
Jan-14	132,034	5,129
Feb-14	134,728	2,694
Mar-14	136,815	2,087
Apr-14	138,157	1,342
May-14	138,562	405
Jun-14	139,105	543
Jul-14	139,881	776
Aug-14	150,820	10,939
Sep-14	156,913	6,093
Oct-14	160,334	3,421
Nov-14	162,848	2,514

Funding Issues

On Table A of the Dashboard, a shortfall of \$58 million in general funds is projected for SFY15. This shortfall is primarily related to the following developments:

- Changes in federal eligibility standards for Medicaid that have resulted in growth in the Medicaid caseload, separate and apart from the New Hampshire Health Protection Program;
- Administrative (start-up and operational) costs for the New Hampshire Health Protection Program that were not funded with the enactment of the program;
- Delayed implementation of Medicaid Care Management;
- The funding requirements of the Community Mental Health Agreement that resulted from a federal lawsuit;
- Required changes to the Department's information systems, including to meet federal certification requirements and to comply with new nationwide Medicaid coding criteria; and
- A \$7 million appropriation reduction imposed on the Department in the SFY14-15 budget.

For SFY14, the Department satisfied the funding needs through vacancy savings and surpluses in certain programs. These are funds that would have lapsed had they not been needed to resolve funding issues. The SFY15 estimated shortfalls are in excess of estimated lapsed amounts and the Department has been working with the Governor's office to develop options.

As the biennium has progressed, the Department has worked on assessing funding shortfalls and options for addressing those shortfalls.

- The Department implemented a hiring freeze prior to the Statewide freeze for all non-direct care positions with an objective of maintaining 250 vacancies. Vacancies have averaged 300 positions for the past year representing a vacancy rate of 10.4% of authorized positions for the Department.
- All administrative accounts were reviewed to identify areas for potential reduction.
- Program areas where significant general funds were added to our budget were reviewed to identify any potential reduction.
- Revenue was analyzed to determine if additional funds could be received.

This Dashboard identifies and describes the Department's plan to fund the anticipated shortfall to date. The plan includes a reallocation of mental health funds, maintaining a vacancy of 250 positions, reducing program appropriations, identifying additional revenue and utilizing funds that would otherwise lapse.

Included in the \$58 million shortfall discussed above, is the \$7m ("back of the budget") appropriation reduction required to be made by the Department within the current biennium. The language of the budget note is as follows:

"The department of health and human services is hereby directed to reduce state general fund appropriations by \$7,000,000 for the biennium ending June 30, 2015. The department shall provide a quarterly report of reductions made under this section to the fiscal committee of the general court and the governor and council." 2013 Laws Chapter 143:10

In order to satisfy this requirement, the Department will reduce appropriations from the nursing home and home care accounts. The \$7 million reduction is a biennial requirement and is appropriately taken from account balances that exist in one or both of State fiscal years 2014 and 2015. Because the legislature mandated the \$7 million reduction as a biennial reduction, any final balance in accounts in either State fiscal year 2014 and 2015 cannot be determined until the \$7 million reduction has been applied. The Department must also comply with the Organizational Note in HB 1 pertaining to nursing homes (05 95 48 481510 5942), as follows:

The appropriations contained in classes 504, 505, 506 and 529 may only be transferred between and among said classes, and shall not lapse. Any balance remaining at the end of the fiscal year shall be paid as additional rates based upon the rate setting methodology in effect at that time. After applying the required appropriation reduction of \$7 million, there remains in the nursing home class line an account balance of \$1,024,574. This sum will be paid as additional rates to nursing homes in accordance with this Organizational Note.

Operations & Administration

Beginning in SFY14 and continuing into SFY15, several significant changes to service delivery systems and operational infrastructure are being implemented. These are identified on Table 3. Legislation related to medical marijuana, family planning services and the New Hampshire Health Protection Program provided no additional funding or staff for implementation; these programs have and will continue to impact the allocation of resources within the Department.

As identified in Table D, the number of filled positions has been declining while the number of clients to be served has been increasing. The decline in number of staff is exacerbated by the fact that over 10% of the Department's workforce is age 60 or older with at least 10 years of service and eligible for retirement. The decline in authorized staff, an increase in staff loss due to retirement at a time of increase caseloads, and the demands of new projects and programs will, by definition, have an impact on the Department.

Table 3
Transformation Initiatives SFY14-SFY15

Service Delivery

1. Medicaid Care Management for Medical and Long Term Care Services
2. Implementation of Children in Need of Services (CHINS) Voluntary Services
3. Implementation of the 10-Year Mental Health Plan
4. State Innovation Model (SIM) Grant
5. Balanced Incentive Program (BIP) Grant

Medicaid Model

6. Development of an 1115 Waiver to restructure the Medicaid program
7. Implementation of authorized elements of the Affordable Care Act (ACA)
 - a. Implementation of the Modified Adjusted Gross Income
 - b. Federally Facilitated Marketplace
 - c. Federally Funded Primary Care Rate Increase
8. Implementation of the State's health care protection program

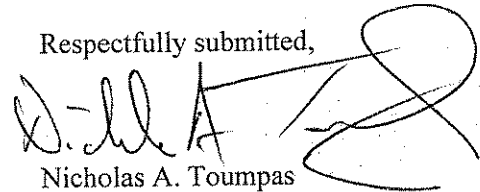
Information Technology

9. Medicaid Management Information System
10. Service Delivery System Transformation – Data Repository
11. Implementation of federal ICD-10 regulations
12. Health Information Exchange
13. Replace Child Support Information System (NECSSES)
14. Complete the installation of the Medicaid Management Information System (MMIS)
15. Continue the modernization of the eligibility determination system (New HEIGHTS)
16. Implement Electronic Medical Record at New Hampshire Hospital
17. Implement WISDOM Public Health Performance Management System

Summary

The Department has continually strived to deliver high quality and cost-effective services to individuals requiring support services, and to the taxpayers who fund those services, and to improve the value of the services delivered. Successful transition to a new business structure, however, is challenged by continuing the reduction in resources and resistance from existing delivery systems and stakeholders.

Respectfully submitted,



Nicholas A. Toumpas
Commissioner

Enclosure

cc: Her Excellency, Governor Margaret Wood Hassan
The Honorable Neal Kurk, Chairman, House Finance Committee
The Honorable Chuck Morse, President, NH State Senate
The Honorable Shawn Jasper, Speaker, NH House of Representatives
Jeffrey A. Pattison, Legislative Budget Assistant

Executive Council

The Honorable Colin Van Ostern The Honorable David K. Wheeler The Honorable Christopher T. Sununu
The Honorable Joseph D. Kenney The Honorable Christopher C. Pappas

House Finance Committee

The Honorable Mary Allen	The Honorable William Hatch	The Honorable Marjorie Smith
The Honorable Richard Barry	The Honorable Peter Leishman	The Honorable Peter Spanos
The Honorable Thomas Buco	The Honorable Dan McGuire	The Honorable Timothy Twombly
The Honorable Frank Byron	The Honorable Betsy McKinney	The Honorable Karen Umberger
The Honorable David Danielson	The Honorable Sharon Nordgren	The Honorable Mary Jane Wallner
The Honorable Daniel Eaton	The Honorable Lynne Ober	The Honorable Robert Walsh
The Honorable J. Tracy Emerick	The Honorable Katherine Rogers	The Honorable Kenneth Weyler
The Honorable Joseph Pitre	The Honorable Cindy Rosenwald	
The Honorable Susan Ford	The Honorable Laurie Sanborn	

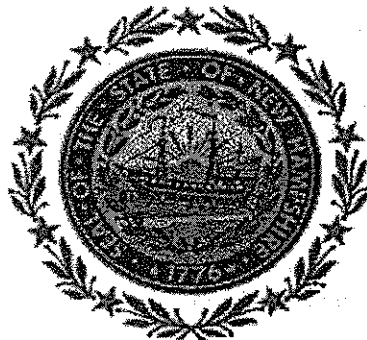
Senate Finance Committee

The Honorable Jeanie Forrester The Honorable Lou D'Allesandro The Honorable Andrew Hosmer
The Honorable Gerald Little The Honorable John Reagan

Governor's Office

Pamela M. Walsh, Chief of Staff
Meredith J. Telus, Budget Director

DEPARTMENT OF HEALTH AND HUMAN SERVICES



OPERATING STATISTICS DASHBOARD

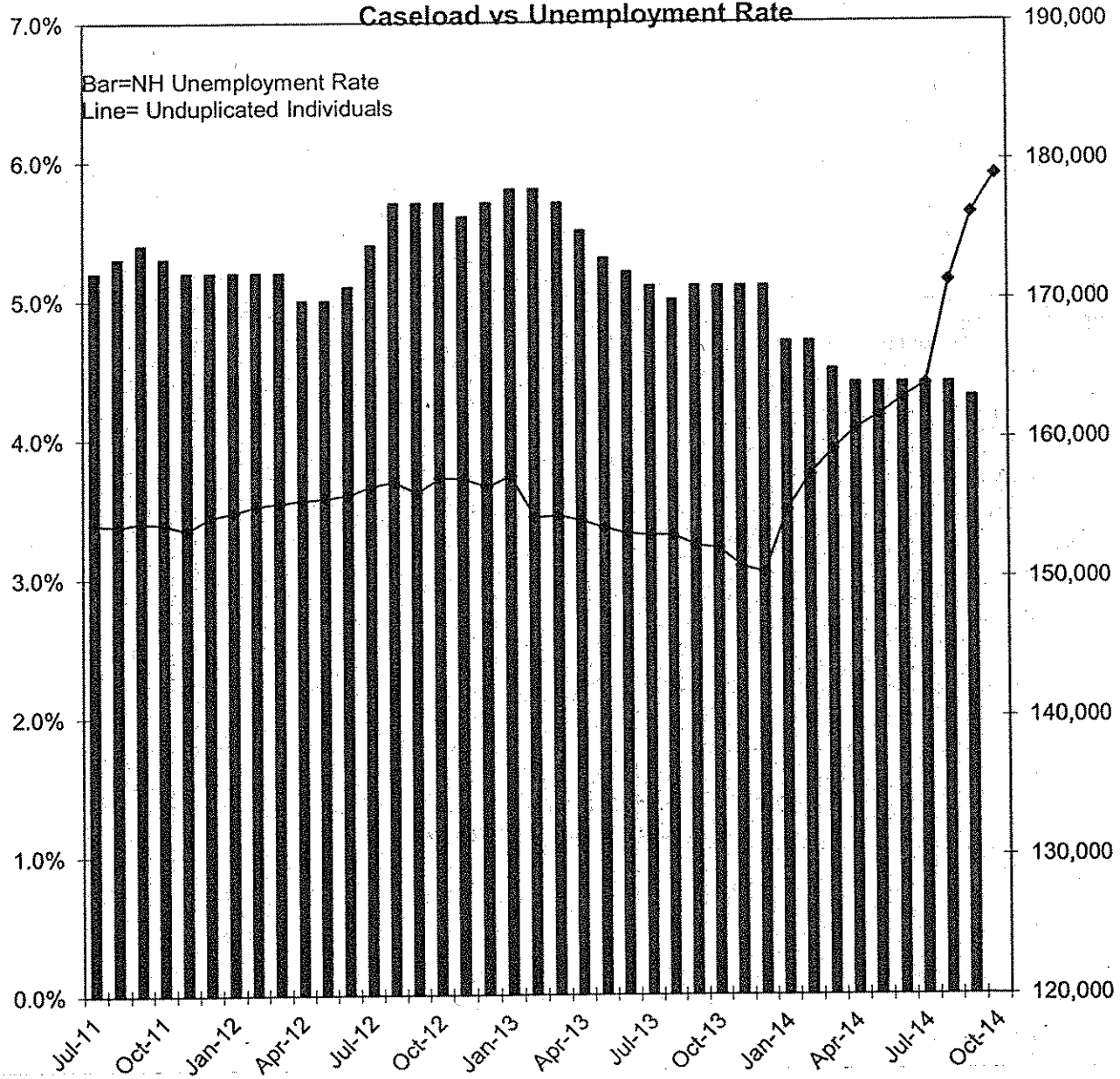
DATA THROUGH NOVEMBER 2014

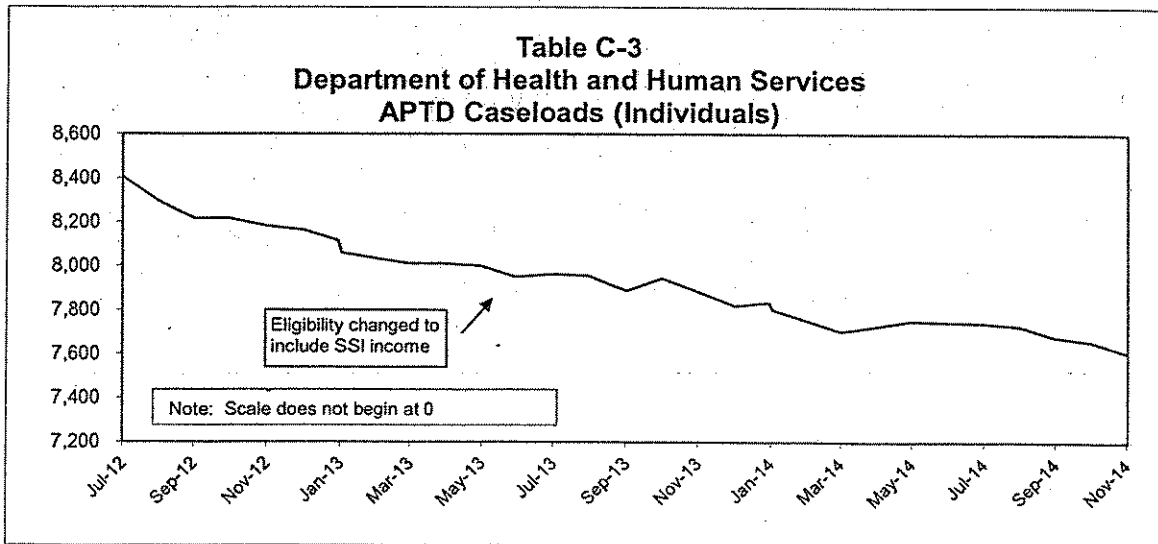
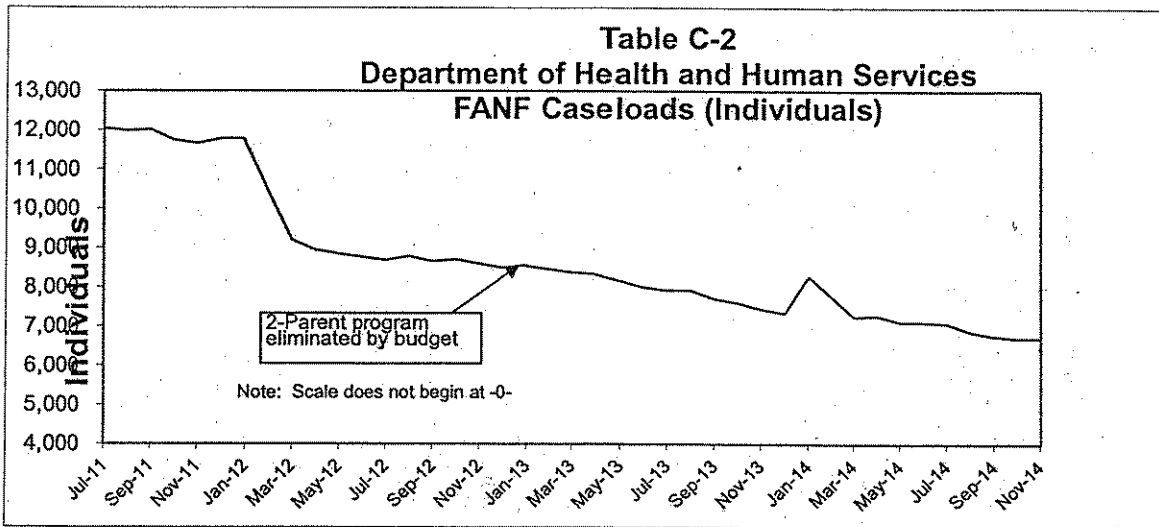
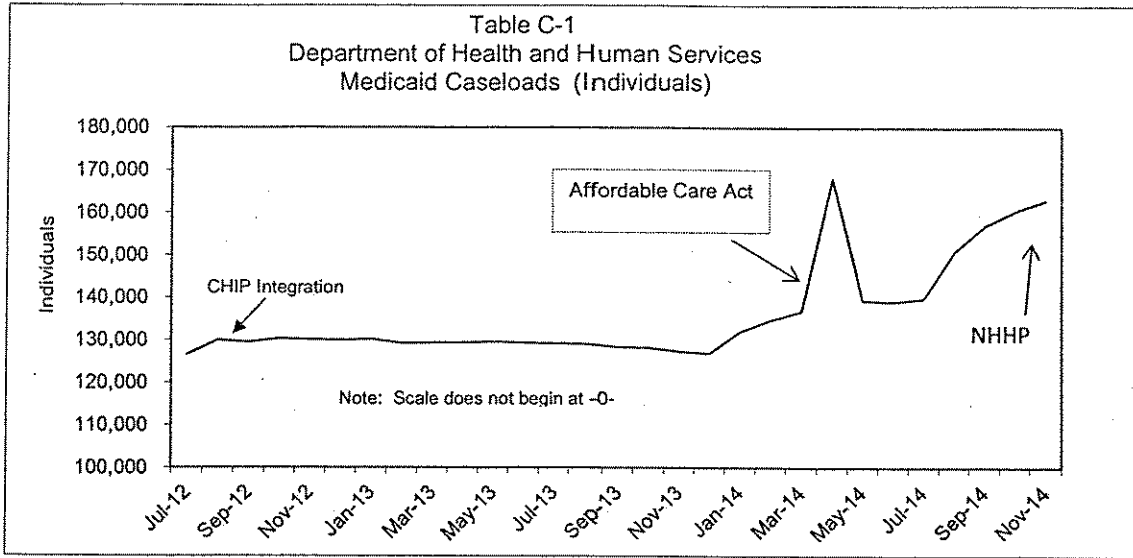
SFY15

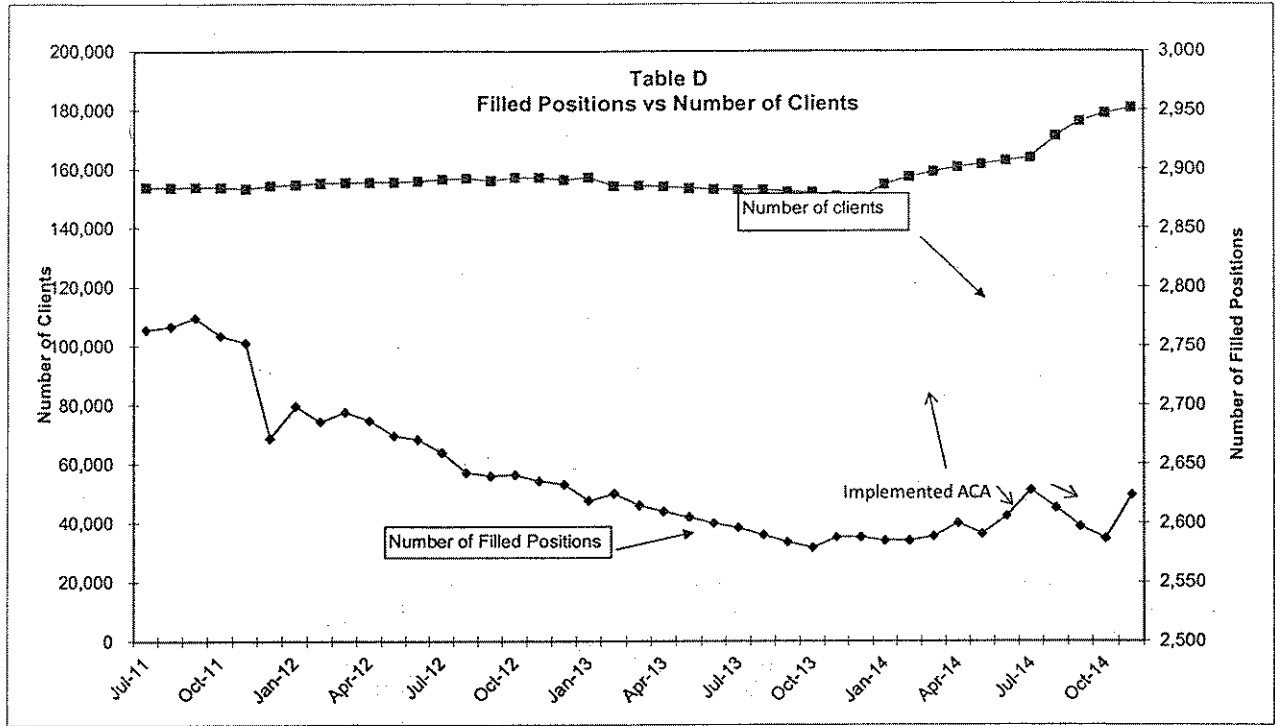
Released January Fiscal

	A	B	C	D	E	F	G	
1	Department of Health and Human Services							
2	Budget Planning SFY14-SFY15							
3	Prepared December 21, 2014							
4	The budget for SFY14-15 provides insufficient general funds to address the legislative intents for services and obligations that are expected to be incurred. This summary identifies the shortfalls as currently anticipated for SFY15 and potential sources of funding.							
5	The items reported on the list include only those which a) are likely to be incurred and b) for which amounts can be reasonably estimated.							
6	Traditionally, the Department avoids using funds that would otherwise lapse to address budget shortfalls so as to not affect the estimated lapse. That was not possible in SFY14 and will likely not be possible for SFY15. Much of the budget shortfalls will be addressed by funds that would have otherwise lapsed.							
7	Lapse Estimated in Final Budget							
8		Lapse estimated in final budget-3.68%		\$23,854	\$23,785	\$23,785		
9								
10	General Funds Rounded to \$000			SFY15	SFY15	SFY15		
11	Estimated Shortfalls			Est. 7/31	Est. 9/30	Est.11/30		
12	Legislative							
13	DHHS	DHHS footnote reduction (HB2:10) (\$7 mil F14-15)		(\$3,500)	(\$7,000)	(\$7,000)		
14	DHHS	Health Care Protection (SB413)		(\$6,559)	(\$6,559)	(\$5,737)		
15	DHHS	DHHS share of statewide personnel reduction		(\$2,338)	(\$2,338)	(\$2,338)		
16	SYSC	Reduction in SYSC appropriation (HB2:14)		(\$1,018)	(\$1,018)	(\$1,018)		
17	DHHS	Health Facility Licensing Fees not authorized		(\$173)	(\$173)	(\$173)		
18	OMBP	Family Planning Services (GF10%)		(\$535)	(\$535)	(\$55)		
19	NHH	Admissions Unit (SB235)		(\$81)	(\$81)	(\$81)		
20	DHHS	Budgeting Error-Food License Revenue Budgeted Twice		(\$907)	(\$907)	(\$907)		
21	Litigation							
22	DHHS	Medicaid To Schools-Transportation		(\$2,000)	(\$2,000)	(\$2,000)		
23	DHHS	DSH Settlement		\$0	\$0	\$0		
24	BBH	Community Mental Health Agreement (HB1635)		(\$5,522)	(\$5,522)	(\$5,394)		
25	Operational Challenges							
26	OIS	System Certification 25% FFP - Not Certified		(\$2,591)	(\$2,591)	(\$2,591)		
27	OIS	Information Systems		(\$1,026)	(\$3,370)	\$0		
28			SUBTOTAL OIS	(\$3,617)	(\$5,961)	(\$2,591)		
29	DHHS	Delay implementation of Medicaid Care Management Step 1		(\$8,460)	(\$8,460)	(\$4,032)		
30	DHHS	Shift Timeline for Implementation of Care Management for Long Term Supports (Step 2)		(\$5,600)	(\$5,600)	(\$5,600)		
31	DHHS	Medicaid Admin Contract		(\$477)	(\$693)	\$0		
32	DHHS	Medicaid Caseload Increases		(\$19,208)	(\$19,208)	(\$21,400)		
33	DHHS	NET MEDICAID (SEE NOTE BELOW)		(\$33,745)	(\$33,961)	(\$31,032)		
34			Total Estimated Shortfalls	(\$59,995)	(\$66,055)	(\$58,326)		
35	Reduction Plan							
36	BBH	Delay adding residential group homes				\$1,000		
37	BBH	Delay Cypress-like model in place for 1 new DRF				\$1,663		
38	BBH	HB 1635 CMHA new appropriation		\$5,696	\$5,696	\$0		
39	BDS	Savings from lower service utilization & limiting re-allocations		\$1,045	\$1,045	\$1,045		
40	BDS	Remove inflationary increases				\$3,000		
41	BDS	Liquidate Unencumbered contract funds				\$528		
42	BDS	Cap remaining Family Support (Respite) Funding				\$1,000		
43	BEAS	Restrict funds from prior year unspent appropriations in nursing home & home care				\$7,000		
44	DPHS	Reduced funding for Comm Health Centers		\$750	\$750	\$750		
45	DPHS	Reduce funding Family Planning program.		\$100	\$100	\$300		
46	Medicaid	Drugs & State Phasedown (clawback)		\$2,871	\$2,652	\$2,871		
47	Medicaid	Shift Breast & Cervical clients to Federal Marketplace				\$102		
48	Medicaid	Shift Pregnant women clients to Federal Marketplace				\$475		
49	OMBP	Liquidate Unencumbered Contract balance				\$75		
50	SYSC	Reduction in SYSC appropriation (HB2:14)		\$1,018	\$1,018	\$1,018		
51	DCYF	Source of Fund change for TANF				\$4,908		
52	DHHS	Vacancy Savings		\$4,000	\$4,000	\$9,750		
53	OADM	Reduction BFAM Contracted Services				\$273		
54	DHHS	Postpone payment of Medicaid to Schools Audit				\$2,000		
55	Revenue Adjustments							
56	DCS	OAPD		\$2,000	\$2,000	\$1,531		
57	DFA	Food Stamp Bonus				\$700		
58	OIS	Add'l FFP for System Certification for SFY15				\$2,591		
59	OIS	Add'l FFP for System Certification for SFY13 & 14				\$2,935		
60		Items Listed Above		\$ 17,480	\$ 17,261	\$ 45,515		
61		Shortfall To Be Funded From Funds That Would Otherwise Lapse		\$ 42,515	\$ 48,794	\$ 12,811		
62		Total Funding		\$ 59,995	\$ 66,055	\$ 58,326		
		Projected Shortfall		\$ -	\$ -	\$ -		
65	Note:	Medicaid Caseload Increases-Net cost of increase in caseloads including new clients related to MAGI regulations, * clients who leave Medicaid for employer sponsored plans and other changes, delays in implementing care management and personal care rate increase						

Table B
Department of Health and Human Services
Caseload vs Unemployment Rate







	A	B	C	D	E	F	G	H
1	Table E							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Children In Services							
5								
6		DCYF	DCYF	Family Foster	Residential	Child Care	Child Care	SYSC
7		Referrals	Assessments	Care	Placement	Emplmnt	Wait List	Secure
8				Placement		Related		Census
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual
47	Jul-12	1,100	681	605	323	5,175	0	60
48	Aug-12	1,050	744	611	317	5,219	0	57
49	Sep-12	1,151	681	619	295	5,050	0	56
50	Oct-12	1,344	898	612	306	5,076	0	60
51	Nov-12	1,098	656	609	321	5,061	0	57
52	Dec-12	1,086	656	601	325	4,995	0	59
53	Jan-13	1,245	715	594	322	5,164	0	54
54	Feb-13	1,072	674	609	318	5,113	0	58
55	Mar-13	1,180	842	619	318	5,231	0	57
56	Apr-13	1,269	852	612	339	5,368	0	60
57	May-13	1,383	852	589	331	5,357	0	69
58	Jun-13	1,147	685	594	332	5,345	0	72
59	Jul-13	1,124	772	571	315	5,568	0	61
60	Aug-13	1,045	591	570	323	5,517	0	60
61	Sep-13	1,276	544	560	297	5,345	0	56
62	Oct-13	1,276	603	567	305	5,357	0	58
63	Nov-13	1,083	536	565	304	5,350	0	61
64	Dec-13	1,111	649	559	299	5,322	0	61
65	Jan-14	1,260	706	542	290	5,298	0	66
66	Feb-14	962	688	531	309	5,238	0	59
67	Mar-14	1,307	1,016	537	311	5,459	0	62
68	Apr-14	1,324	972	539	313	5,512	0	62
69	May-14	1,370	866	531	317	5,737	0	59
70	Jun-14	1,267	684	535	324	5,694	0	59
71	Jul-14	1,049	890	510	319	5,742	0	52
72	Aug-14	1,273	827	510	254	5,626	0	52
73	Sep-14	1,485	921	501	282	5,543	0	48
74	Oct-14	1,356	790	519	301	5,341	0	47
75	Nov-14	1,090	681	512	308	5,384	0	50
76	Dec-14							
77	Jan-15							
78	Feb-15							
79	Mar-15							
80	Apr-15							
81	May-15							
82	Jun-15							
83	ANNUAL AVERAGE							
84	SFY11	1,140	743	630	415	4,833	1,178	62
85	SFY12	1,189	771	596	322	5,043	0	60
86	SFY13	1,177	745	606	321	5,180	0	60
87	SFY14	1,200	719	551	309	5,450	0	60
88								
89	Source of Data							
90	Column							
91	B	DCYF SFY Management Database Report: Bridges.						
92	C	DCYF Assessment Supervisory Report: Bridges.						
93	D	Bridges placement authorizations during the month, unduplicated.						
94	E	Bridges placement authorizations during the month, unduplicated.						
95	F	Bridges Expenditure Report, NHB-OAR8-128						
96	G	Child Care Wait List Screen: New Heights						
97	H	Bridges Service Day Query - Bed days divided by days in month						

	A	B	C	D	E	F	G	H	
1	Table F								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Social Services								
5									
6		FANF	APTD	Food	Child Support Cases				
7			Persons	Stamps	Current	Former	Never	Total	
8				Persons	Cases	Cases	Cases	Cases	
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual	
46	Jul-12	8,690	8,405	117,625	4,184	17,771	12,928	34,883	
47	Aug-12	8,793	8,296	117,916	4,031	17,760	12,899	34,690	
48	Sep-12	8,657	8,218	117,569	4,038	17,722	12,853	34,613	
49	Oct-12	8,704	8,216	119,101	4,261	17,526	12,865	34,652	
50	Nov-12	8,599	8,181	118,992	4,066	17,650	12,862	34,578	
51	Dec-12	8,493	8,164	118,817	4,051	17,653	12,893	34,597	
52	Jan-13	8,559	8,115	120,153	4,136	17,542	12,836	34,514	
53	Feb-13	8,538	8,059	117,654	4,175	17,545	12,857	34,577	
54	Mar-13	8,378	8,011	117,409	4,041	17,723	13,006	34,770	
55	Apr-13	8,337	8,011	114,147	4,162	17,606	13,054	34,822	
56	May-13	8,169	8,001	119,317	3,973	17,780	13,102	34,855	
57	Jun-13	8,005	7,951	116,087	3,917	17,850	13,146	34,913	
58	Jul-13	7,926	7,962	115,691	4,035	17,724	13,193	34,952	
59	Aug-13	7,922	7,955	115,499	3,866	17,901	13,180	34,947	
60	Sep-13	7,709	7,889	114,725	3,772	17,913	13,183	34,868	
61	Oct-13	7,609	7,945	114,915	3,938	17,797	13,227	34,962	
62	Nov-13	7,449	7,882	113,514	3,793	17,908	13,325	35,026	
63	Dec-13	7,334	7,820	112,908	3,803	17,774	13,331	34,908	
64	Jan-14	7,330	7,834	113,326	3,762	17,783	13,316	34,861	
65	Feb-14	7,353	7,803	112,791	3,767	17,695	13,329	34,791	
66	Mar-14	7,242	7,704	112,511	3,723	17,734	13,361	34,818	
67	Apr-14	7,277	7,727	112,144	3,863	17,593	13,453	34,909	
68	May-14	7,119	7,751	111,362	3,828	17,592	13,518	34,938	
69	Jun-14	7,116	7,745	110,590	3,700	17,766	13,683	35,149	
70	Jul-14	7,085	7,741	109,239	3,672	17,849	13,748	35,269	
71	Aug-14	6,871	7,727	108,767	3,671	17,803	13,741	35,215	
72	Sep-14	6,767	7,679	108,434	3,598	17,831	13,736	35,165	
73	Oct-14	6,705	7,657	108,343	3,702	18,674	13,214	35,590	
74	Nov-14	6,705	7,607	107,214	3,711	18,814	13,347	35,872	
75	Dec-14								
76	Jan-15								
77	Feb-15								
78	Mar-15								
79	Apr-15								
80	May-15								
81	Jun-15								
82	ANNUAL AVERAGE								
83	SFY11	13,696	8,794	112,302	5,581	17,264	13,006	35,850	
84	SFY12	10,870	8,774	115,987	4,951	17,416	12,823	35,190	
85	SFY13	8,494	8,136	117,899	4,086	17,677	12,942	34,705	
86	SFY14	7,449	7,835	113,331	3,821	17,765	13,342	34,927	
87									
88	Source of Data								
89	Column								
90	B	Office of Research & Analysis, C							
91	C	Budget Document							
92	D	Budget Document							
93	E-H	DCSS Caseload (Month End Actual from NECSES)							
94									
95	Note	* Effective 3/1/12, SSI or SSP is considered when determining FANF							
96		eligibility. Those child support cases no longer eligible, are now "Former"							
97		assistance cases.							
98									

	A	B	C	D	E	F	G	H	I
1	Table G								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Community Mental Health Center Medicaid								
5									
6		Monthly Cost	YTD Weekly Average Cost						
7		Actual	Actual						
44	Jul-12	\$ 6,080,133	\$ 1,520,033	Medicaid Client Trending Report					
45	Aug-12	\$ 8,396,227	\$ 1,608,484	Current Date:			Note: All figures are year-to-date		
46	Sep-12	\$ 6,638,801	\$ 1,624,243	ACTUALS - YTD					
47	Oct-12	\$ 6,557,972	\$ 1,627,831	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
48	Nov-12	\$ 8,163,038	\$ 1,628,917	2009	12,014	14,693	16,849	19,206	
49	Dec-12	\$ 6,888,680	\$ 1,643,264	2010	13,240	16,187	18,580	20,797	
50	Jan-13	\$ 5,678,659	\$ 1,613,450	2011	13,480	16,390	18,410	20,665	
51	Feb-13	\$ 6,844,750	\$ 1,624,949	2012	13,358	15,775	17,447	19,925	
52	Mar-13	\$ 9,366,958	\$ 1,656,800	2013	13,227	15,761	17,460	19,555	
53	Apr-13	\$ 9,806,502	\$ 1,730,738	2014	Data not available				
54	May-13	\$ 5,993,645	\$ 1,675,320	2015	Data not available				
55	Jun-13	\$ 6,614,944	\$ 1,673,660						
56	Jul-13	\$ 6,663,858	\$ 1,665,965	BUDGETED - YTD					
57	Aug-13	\$ 8,147,505	\$ 1,645,707	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
58	Sep-13	\$ 6,844,233	\$ 1,665,815	2012	13,806	16,787	18,856	21,165	
59	Oct-13	\$ 6,845,837	\$ 1,676,555	2013	14,214	16,786	18,565	21,202	
60	Nov-13	\$ 8,112,072	\$ 1,664,250	2014	13,957	16,631	18,424	20,635	
61	Dec-13	\$ 5,264,639	\$ 1,610,698	2015	na	na	na	na	
62	Jan-14	\$ 3,271,442	\$ 1,456,438						
63	Feb-14	\$ 3,303,114	\$ 1,384,363	VARIANCE: BUDGETED TO ACTUAL - YTD					
64	Mar-14	\$ 2,783,850	\$ 1,313,758	FISCAL YEAR	QTR 1	QTR 2	QTR 3	QTR 4	
65	Apr-14	\$ 3,119,386	\$ 1,264,092	2013	-987	-1,025	-1,105	-1,647	
66	May-14	\$ 3,612,579	\$ 1,207,677	2014	-13,957	-16,631	-18,424	-20,635	
67	Jun-14	\$ 2,869,971	\$ 1,169,971	2015	na	na	na	na	
68	Jul-14	\$ 2,281,688	\$ 570,422						
69	Aug-14	\$ 2,781,448	\$ 562,571						
70	Sep-14	\$ 2,573,744	\$ 587,452						
71	Oct-14								
72	Nov-14								
73	Dec-14								
74	Jan-15								
75	Feb-15								
76	Mar-15								
77	Apr-15								
78	May-15								
79	Jun-15								
80	ANNUAL AVERAGE								
81	SFY11		\$ 1,752,303						
82	SFY12		\$ 1,674,791						
83	SFY13		\$ 1,673,660						
84	SFY14		\$ 1,169,971						

	A	B	C	D	E	F	G	H	I	J	K	L	M
6	Total Nursing Clients		CFI Home Health	CFI Midlevel	Other Nursing	Nursing Home Beds		Pct in NF	APS Clients Assmnts	APS Cases Ongoing	SSBG AIHC Waitlist	Total SSBG AIHC	
7	Actual	Budget			Note 1	3 mo. Avg	Budget						
8													
44	Jul-12	7,225	7,578	2,401	444	34	4,380	4,422	60.6%	238	1,096	9	
45	Aug-12	7,448	7,578	2,468	471	39	4,509	4,422	60.5%	251	1,087	5	
46	Sep-12	7,281	7,578	2,454	462	37	4,365	4,422	60.0%	209	1,092	6	518
47	Oct-12	7,293	7,578	2,475	464	35	4,354	4,422	59.7%	243	1,137	1	
48	Nov-12	7,254	7,578	2,478	482	34	4,294	4,422	59.2%	200	1,203	1	
49	Dec-12	7,253	7,578	2,433	484	35	4,336	4,422	59.8%	178	1,186	1	635
50	Jan-13	7,194	7,578	2,421	461	37	4,312	4,422	59.9%	255	1,201	1	
51	Feb-13	7,092	7,578	2,415	443	33	4,234	4,422	59.7%	159	1,202	1	
52	Mar-13	7,052	7,578	2,487	438	38	4,127	4,422	58.5%	220	1,196	1	705
53	Apr-13	6,658	7,578	2,390	238	9	4,030	4,422	60.5%	205	1,228	1	
54	May-13	7,037	7,578	2,511	362	11	4,164	4,422	59.2%	174	1,206	1	
55	Jun-13	7,038	7,578	2,405	421	10	4,212	4,422	59.8%	194	1,224	1	769
56	Jul-13	7,153	7,356	2,452	421	72	4,280	4,380	59.8%	276	1,230	1	
57	Aug-13	7,284	7,356	2,532	439	25	4,313	4,380	59.2%	263	1,225	1	
58	Sep-13	7,145	7,356	2,480	449	20	4,216	4,380	59.0%	264	1,247	1	474
59	Oct-13	7,290	7,356	2,435	459	24	4,396	4,380	60.3%	291	1,255	1	
60	Nov-13	7,264	7,356	2,422	488	36	4,354	4,380	59.9%	224	1,242	6	
61	Dec-13	7,342	7,356	2,417	454	27	4,471	4,380	60.9%	255	1,267	3	573
62	Jan-14	7,265	7,356	2,428	481	27	4,356	4,380	60.0%	319	1,269	3	
63	Feb-14	7,041	7,356	2,372	449	37	4,220	4,380	59.9%	258	1,270	0	
64	Mar-14	7,121	7,356	2,366	455	27	4,300	4,380	60.4%	283	1,266	0	65
65	Apr-14	7,125	7,356	2,317	493	24	4,315	4,380	60.6%	298	1,238	0	
66	May-14	7,439	7,356	2,418	477	24	4,544	4,380	61.1%	312	1,265	0	
67	Jun-14	7,271	7,356	2,356	475	32	4,440	4,380	61.1%	282	1,216	0	675

	A	B	C	D	E	F	G	H	I	J
1	Operating Statistics									
2	Developmental Services Long Term Care									
5		BDS Programs served FYTD**	BDS Programs - FYTD Unduplicated Count	Early Supports & Services	Special Medical Services	Partners in Health Program	Devl. Serv. Priority #1 DD Waitlist	Devl. Serv. ABD Waitlist		Medicaid to Schools Enrollment
6					(8-09 to 8-12 Actual)	(8-09 to 8-12 Actual)	Actual*	Actual*		
42	Jul-12	9,742	7,055	1,891	1,689	998	123	0		
43	Aug-12	10,324	7,590	2,083	1,738	996	123	0		
44	Sep-12	11,000	8,156	2,288	1,814	1,030	154	0		
45	Oct-12	11,701	8,774	2,601	1,876	1,051	169	0		
46	Nov-12	12,207	9,209	2,861	1,935	1,063	172	0		
47	Dec-12	12,562	9,502	3,033	1,980	1,080	190	0		
48	Jan-13	13,217	10,065	3,255	2,063	1,089	219	0		
49	Feb-13	13,660	10,438	3,521	2,123	1,099	225	1		
50	Mar-13	14,057	10,694	3,706	2,253	1,110	242	3		
51	Apr-13	14,460	10,992	3,925	2,342	1,126	240	1		
52	May-13	14,863	11,289	4,132	2,430	1,144	265	4		
53	Jun-13	15,205	11,580	4,323	2,460	1,165	288	8		
54	Jul-13	8,995	6,364	1,865	1,646	985	373	15		
55	Aug-13	10,041	7,291	2,074	1,755	995	186	5		
56	Sep-13	10,978	8,160	2,381	1,813	1,005	103	6		
57	Oct-13	11,573	8,648	2,618	1,903	1,022	108	10		
58	Nov-13	12,129	9,122	2,978	1,963	1,044	116	12		
59	Dec-13	12,764	9,658	3,231	2,047	1,059	51	16		
60	Jan-14	13,265	10,043	3,404	2,142	1,080	40	14		
61	Feb-14	13,712	10,409	3,640	2,208	1,095	59	16		
62	Mar-14	14,174	10,730	3,863	2,325	1,119	69	18		
63	Apr-14	14,702	11,093	4,112	2,464	1,145	81	17		
64	May-14	15,144	11,488	4,383	2,508	1,148	10	0		
65	Jun-14	15,525	11,742	4,577	2,614	1,169	79	19		
66	Jul-14	9,996	7,049	1,810	1,979	968	86	0		
67	Aug-14	10,721	7,697	2,152	2,040	984	95	0		
68	Sep-14	11,675	8,467	2,545	2,212	996	120	3		
69	Oct-14	12,567	9,127	2,785	2,421	1,019	139	2		
70	Nov-14	13,078	9,567	3,010	2,476	1,035	132	3		
71	Dec-14									
72	Jan-15									
73	Feb-15									
74	Mar-15									
75	Apr-15									
76	May-15									
77	Jun-15									
78	ANNUAL AVERAGE									
79	SFY11	12,718	9,873	2,125	1,701	1,144	22	0		
80	SFY12	12,373	9,568	3,160	1,744	1,061	64	4		
81	SFY13	12,750	9,612	3,135	2,059	1,079	201	1		
82	SFY14	12,750	9,562	3,261	2,116	1,072	106	12		
83										
84	Data Sources:	NHLeads	NHLeads	NHLeads	SMSdb	PIHdb	Registry	Registry		
85										
86	*G & *H	Represent the number of individuals waiting at least 90-days for DD or ABD								
87		Waiver funding.								
88	**	BDS count excludes MTS Students served								
89	E & F	Represents year-to-date total number served								

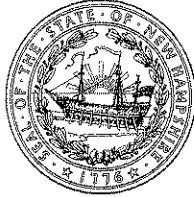
	A	B	C	D	E	F	G	H
1	Table I							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Shelter & Institutions							
5								
6		NHH				BHHS		Glenciff
7		APS & APC Census	APS & APC Admissions	THS Census	All Shelters		% of	GH Census
8		Actual	Actual	Actual	Capacity	Actual	Capacity	Actual
9								
46	Jul-12	145	161	n/a				118
47	Aug-12	149	193	n/a				118
48	Sep-12	151	162	n/a				119
49	Oct-12	150	178	n/a				119
50	Nov-12	150	161	n/a				117
51	Dec-12	150	125	n/a				117
52	Jan-13	161	173	n/a				117
53	Feb-13	164	135	n/a				118
54	Mar-13	155	149	n/a				119
55	Apr-13	152	173	n/a				119
56	May-13	148	187	n/a				118
57	Jun-13	155	175	n/a				119
58	Jul-13	155	187	n/a				117
59	Aug-13	161	164	n/a				116
60	Sep-13	163	165	n/a				115
61	Oct-13	161	184	n/a				116
62	Nov-13	164	149	n/a				119
63	Dec-13	151	144	n/a				118
64	Jan-14	160	190	n/a				118
65	Feb-14	161	165	n/a				116
66	Mar-14	160	181	n/a				118
67	Apr-14	163	193	n/a				118
68	May-14	164	184	n/a				116
69	Jun-14	162	164	n/a				114
70	Jul-14	141	153	n/a	13,826	11,737	85%	116
71	Aug-14	135	142	n/a	13,826	12,121	88%	118
72	Sep-14	145	173	n/a	13,380	11,625	87%	118
73	Oct-14	146	181	n/a	13,826	12,783	92%	117
74	Nov-14	150	166	n/a	13,380	12,064	90%	116
75	Dec-14			n/a				
76	Jan-15			n/a				
77	Feb-15			n/a				
78	Mar-15			n/a				
79	Apr-15			n/a				
80	May-15			n/a				
81	Jun-15			n/a				
82	ANNUAL AVERAGE							
83	SFY11	151	192	42				111
84	SFY12	148	197	39				116
85	SFY13	153	164	n/a				118
86	SFY14	160	173	n/a				117
87								
88	Source of Data							
89	Column							
90	B	Daily in-house midnight census averaged per month*						
91	C	Daily census report of admissions totalled per month						
92	D	Daily in-house midnight census averaged per month						
93	E	Total number of individual bednights available in emergency shelters						
94	F	Total number of individual bednights utilized in emergency shelters						
95	G	Percentage of individual bednights utilized during month						
96	H	Total number of family bednights available in emergency shelters						
97	I	Total number of family bednights utilized in emergency shelters						
98	J	Percentage of family bednights utilized during month						
99	K	Daily in-house midnight census averaged per month						
100								
101		* July 2014 average Census no longer reflects Pts on Leave						

	A	B	C	H	I	J	K	L	M
1	Table J								
2	Medicaid Medical Caseloads (Persons)								
3									
4	Enrollment as of	12/30/13	1/31/14	6/30/14	7/31/14	8/31/14	9/30/14	10/31/14	11/30/14
5									
6	1. Low-Income Children (Age 0-18)	82,129	85,335	88,961	89,392	89,269	89,702	89,898	89,766
7	2. Children With Severe Disabilities (Age 0-18)	1,604	1,653	1,670	1,616	1,636	1,619	1,615	1,610
8	3. Foster Care & Adoption Subsidy (Age 0-25)	1,948	1,966	2,004	2,015	2,020	2,048	2,087	2,092
9	4. Low-Income Parents (Age 19-64)	10,324	11,604	13,976	14,274	12,898	13,287	13,130	13,069
10	5. Low-Income Pregnant Women (Age 19+)	2,275	2,789	3,246	3,238	2,832	2,846	2,760	2,667
11	6. Adults With Disabilities (Age 19-64)	19,997	20,075	20,222	20,757	19,991	19,830	19,713	19,521
12	7. Elderly & Elderly With Disabilities (Age 65+)	8,828	8,802	8,822	8,848	8,809	8,771	8,796	8,724
13	8. BCCP (Age 19-64)	205	204	204	200	199	194	190	189
14	9. NH Health Protection Program (Age 19-64)					13,166	18,617	22,146	25,211
15	Total By Category	127,310	132,428	139,105	140,340	150,820	156,914	160,335	162,849
16	Reconciling Differences (Detail to Summary)	(405)	(394)	0	(459)	0	(1)	(1)	(1)
17	Reported On Summary	126,905	132,034	139,105	139,881	150,820	156,913	160,334	162,848
18	ENROLLMENT IN MEDICAID CARE MANAGEMENT								
19									
20	Enrollment as of	01/01/14	02/01/14	07/01/14	08/01/14	09/01/14	10/01/14	11/01/14	12/1/2014
21									
22	Enrolled in Care Management	108,206	111,151	120,915	120,946	126,938	133,716	137,030	140,225
23	Enrolled in Fee-For-Service	25,186	22,772	15,549	15,822	21,481	22,090	21,102	21,127
24	Total	133,392	133,923	136,464	136,768	148,419	155,806	158,132	161,352
25									
26		(6,082)	(1,495)	2,641	3,572	2,401	1,108	2,203	1,497
27	<p>Figures by category versus figures by coverage are taken from two points in time. Medicaid Care Management is first of the month and the some people drop off during the month and go into Fee-For-Service. FFS is end of the month and builds during the month to include the spend down clients excluded from MCM. The early data points are switched because the MCM data includes retroactive FFS enrollment for those earlier months.</p>								

Table K																				
Department of Health and Human Services																				
Caseloads Versus Prior Year & Prior Month																				
	Unduplicated Persons			Medicaid Persons			Long Term Care-Seniors			FANF Persons			APTD Persons			SNAP Persons				
	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo		
56	Jul-12	156,637	1.8%	0.4%	129,569	Eff. 7/1/12 CHIP included	7,225	1.2%	-2.0%	8,690	-27.9%	-1.0%	8,405	-6.9%	-1.3%	117,625	3.2%	-0.1%		
57	Aug-12	156,966	2.1%	0.2%	129,951		7,448	3.5%	3.1%	8,793	-26.6%	1.2%	8,296	-6.8%	-1.3%	114,916	0.6%	-2.3%		
58	Sep-12	156,144	1.4%	-0.5%	129,479		7,281	1.5%	-2.2%	8,657	-27.9%	-1.5%	8,218	-7.3%	-0.9%	117,569	2.8%	2.3%		
59	Oct-12	157,243	2.1%	0.7%	130,393		7,293	3.4%	0.2%	8,704	-26.0%	0.5%	8,216	-6.6%	0.0%	119,101	3.8%	1.3%		
60	Nov-12	157,170	2.4%	0.0%	130,110		7,254	3.1%	-0.5%	8,599	-26.3%	-1.2%	8,181	-7.6%	-0.4%	118,992	4.0%	-0.1%		
61	Dec-12	156,588	1.4%	-0.4%	130,001		7,253	1.7%	0.0%	8,493	-27.9%	-1.2%	8,164	-9.3%	-0.2%	118,817	2.7%	-0.1%		
62	Jan-13	157,348	1.7%	0.5%	130,239		7,194	0.1%	-0.8%	8,559	-27.3%	0.8%	8,115	-8.1%	-0.6%	120,153	2.7%	1.1%		
63	Feb-13	154,386	-0.6%	-1.9%	129,200		7,092	-3.0%	-1.4%	8,538	-26.6%	-0.2%	8,059	-8.3%	-0.7%	117,654	0.3%	-2.1%		
64	Mar-13	154,504	-0.6%	0.1%	129,413		7,052	-6.2%	-0.6%	8,378	-9.0%	-1.9%	8,011	-6.8%	-0.6%	117,409	0.1%	-0.2%		
65	Apr-13	154,159	-1.0%	-0.2%	129,346		n/a			8,337	-6.8%	-0.5%	8,011	-6.8%	0.0%	117,147	-0.3%	-0.2%		
66	May-13	153,625	-1.4%	-0.3%	129,598		7,037	-4.2%		8,169	-7.7%	-2.0%	8,001	-6.3%	-0.1%	119,317	1.3%	1.9%		
67	Jun-13	153,197	-1.8%	-0.3%	129,353		7,038	-4.6%	0.0%	8,005	-8.8%	-2.0%	7,951	-6.7%	-0.6%	116,087	-1.4%	-2.7%		
68	Jul-13	153,075	-2.3%	-0.1%	129,255		7,153	-1.0%	1.6%	7,926	-8.8%	-1.0%	7,962	-5.3%	0.1%	115,691	-1.6%	-0.3%		
69	Aug-13	153,065	-2.5%	0.0%	129,063		7,284	-2.2%	1.8%	7,922	-9.9%	-0.1%	7,955	-4.1%	-0.1%	115,499	0.5%	-0.2%		
70	Sep-13	152,338	-2.4%	-0.5%	128,364		7,145	-1.9%	-1.9%	7,709	-11.0%	-2.7%	7,889	-4.0%	-0.8%	114,725	-2.4%	-0.7%		
71	Oct-13	152,132	-3.3%	-0.1%	128,276		7,290	0.0%	2.0%	7,609	-12.6%	-1.3%	7,945	-3.3%	0.7%	114,915	-3.5%	0.2%		
72	Nov-13	150,798	-4.1%	-0.9%	127,359		7,264	0.1%	-0.4%	7,449	-13.4%	-2.1%	7,882	-3.7%	-0.8%	113,514	-4.6%	-1.2%		
73	Dec-13	150,372	-4.0%	-0.3%	126,905		7,342	1.2%	1.1%	7,334	-13.6%	-1.5%	7,820	-4.2%	-0.8%	112,908	-5.0%	-0.5%		
74	Jan-14	154,862	-1.6%	3.0%	132,034		7,265	1.0%	-1.0%	7,330	-14.4%	-0.1%	7,834	-3.5%	0.2%	113,326	-5.7%	0.4%		
75	Feb-14	157,397	2.0%	1.6%	134,728		7,041	-0.7%	-3.1%	7,353	-13.9%	0.3%	7,803	-3.2%	-0.4%	112,791	-4.1%	-0.5%		
76	Mar-14	159,213	3.0%	1.2%	136,815		7,121	1.0%	1.1%	7,242	-13.6%	-1.5%	7,704	-3.8%	-1.3%	112,511	-4.2%	-0.2%		
77	Apr-14	160,682	4.2%	0.9%	138,157		7,125	n/a	0.1%	7,277	-12.7%	0.5%	7,727	-3.5%	0.3%	112,144	-4.3%	-0.3%		
78	May-14	161,647	5.2%	0.6%	138,562		7,439	5.7%	4.4%	7,119	-12.9%	-2.2%	7,751	-3.1%	0.3%	111,362	-6.7%	-0.7%		
79	Jun-14	162,897	6.3%	0.8%	139,105		7,271	3.3%	-2.3%	7,116	-11.1%	0.0%	7,745	-2.6%	-0.1%	110,590	-4.7%	-0.7%		
80	Jul-14	163,903	7.1%	0.6%	139,881		7,337	2.6%	0.9%	7,085	-10.6%	-0.4%	7,741	-2.8%	-0.1%	109,239	-5.6%	-1.2%		
81	Aug-14	171,328	11.9%	4.5%	150,820		7,094	-2.6%	-3.3%	6,871	-13.3%	-3.0%	7,727	-2.9%	-0.2%	108,767	-5.8%	-0.4%		
82	Sep-14	176,192	15.7%	2.8%	156,913		7,088	-0.8%	-0.1%	6,767	-12.2%	-1.5%	7,679	-2.7%	-0.6%	108,434	-5.5%	-0.3%		
83	Oct-14	178,952	17.6%	1.6%	160,334		7,242	-0.7%	2.2%	6,705	-11.9%	-0.9%	7,657	-3.6%	-0.3%	108,343	-5.7%	-0.1%		
84	Nov-14	180,798	19.9%	1.0%	162,848		7,160	-1.4%	-1.1%	6,705	-10.0%	0.0%	7,607	-3.5%	-0.7%	107,214	-5.5%	-1.0%		
85	Dec-14																			
86	Jan-15																			
87	Feb-15																			
88	Mar-15																			
89	Apr-15																			
90	May-15																			
91	Jun-15																			
92																				
							ANNUAL AVERAGE													
93	SFY10	145,949			117,025		7,288			14,098			8,284			99,219				
94	SFY11	152,821	4.7%		119,612	2.2%	7,188	-1.4%		13,696	-2.8%		8,794	6.2%		112,302	13.2%			
95	SFY12	154,715	1.2%		119,832	0.2%	7,237	0.7%		10,870	-20.6%		8,778	-0.2%		115,987	3.3%			
96	SFY13	155,664	0.6%		129,721	n/a	7,197	-0.5%		8,494	-21.9%		8,136	-7.3%		117,899	1.6%			
97	SFY14	155,707	0.0%		132,385	2.1%	7,228	0.4%		7,449	-12.3%		7,835	-3.7%		113,331	-3.9%			

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	DATA TABLES FOR CHARTS																
2																	
3	Caseloads Vs Unemployment			Caseloads-Actual				Personnel Vacancy Rate									
4		NH Unempl.	Unduplicated Persons		FANF Persons Actual	APTD Actual	Medicaid Persons Actual			Authorized	Filled	Vacant	PCT		Caseload	Staff	
5		Rate															
55	Jul-11	5.2%	153,928	Jul-11	12,046	9,031	119,814	Jul-11	2,995	2,764	231	7.7%	Jul-11	153,928	2,764		
56	Aug-11	5.3%	153,803	Aug-11	11,980	8,905	119,828	Aug-11	2,995	2,767	228	7.6%		153,803	2,767		
57	Sep-11	5.4%	154,055	Sep-11	12,014	8,864	119,916	Sep-11	2,995	2,774	221	7.4%		154,055	2,774		
58	Oct-11	5.3%	153,942	Oct-11	11,756	8,793	119,437	Oct-11	2,997	2,759	238	7.9%	Oct-11	153,942	2,759		
59	Nov-11	5.2%	153,484	Nov-11	11,668	8,854	118,901	Nov-11	2,997	2,753	244	8.1%		153,484	2,753		
60	Dec-11	5.2%	154,470	Dec-11	11,787	9,006	119,626	Dec-11	2,898	2,672	226	7.8%		154,470	2,672		
61	Jan-12	5.2%	154,765	Jan-12	11,781	8,834	119,338	Jan-12	2,898	2,699	199	6.9%	Jan-12	154,765	2,699		
62	Feb-12	5.2%	155,274	Feb-12	11,628	8,792	119,553	Feb-12	2,898	2,686	212	7.3%		155,274	2,686		
63	Mar-12	5.2%	155,424	Mar-12	9,202	8,600	120,382	Mar-12	2,898	2,694	204	7.0%		155,424	2,694		
64	Apr-12	5.0%	155,639	Apr-12	8,950	8,595	120,538	Apr-12	2,898	2,687	211	7.3%	Apr-12	155,639	2,687		
65	May-12	5.0%	155,789	May-12	8,853	8,541	120,520	May-12	2,898	2,674	224	7.7%		155,789	2,674		
66	Jun-12	5.1%	156,002	Jun-12	8,774	8,518	120,335	Jun-12	2,897	2,671	226	7.8%		156,002	2,671		
67	Jul-12	5.4%	156,637	Jul-12	8,690	8,405	126,569	Jul-12	2,897	2,660	237	8.2%	Jul-12	156,637	2,660		
68	Aug-12	5.7%	156,966	Aug-12	8,793	8,296	129,951	Aug-12	2,897	2,643	254	8.8%		156,966	2,643		
69	Sep-12	5.7%	156,144	Sep-12	8,657	8,218	129,479	Sep-12	2,897	2,640	257	8.9%		156,144	2,640		
70	Oct-12	5.7%	157,243	Oct-12	8,704	8,216	130,393	Oct-12	2,897	2,641	256	8.8%	Oct-12	157,243	2,641		
71	Nov-12	5.6%	157,170	Nov-12	8,599	8,181	130,110	Nov-12	2,897	2,636	261	9.0%		157,170	2,636		
72	Dec-12	5.7%	156,588	Dec-12	8,493	8,164	130,001	Dec-12	2,897	2,633	264	9.1%		156,588	2,633		
73	Jan-13	5.8%	157,348	Jan-13	8,559	8,115	130,239	Jan-13	2,898	2,619	279	9.6%	Jan-13	157,348	2,619		
74	Feb-13	5.8%	154,386	Feb-13	8,538	8,059	129,200	Feb-13	2,898	2,625	273	9.4%		154,386	2,625		
75	Mar-13	5.7%	154,504	Mar-13	8,378	8,011	129,413	Mar- Est	2,900	2,615	285	9.8%		154,504	2,615		
76	Apr-13	5.5%	154,159	Apr-13	8,337	8,011	129,346	Apr- Est	2,900	2,610	290	10.0%	Apr-13	154,159	2,610		
77	May-13	5.3%	153,625	May-13	8,169	8,001	129,598	May- Est	2,900	2,605	295	10.2%		153,625	2,605		
78	Jun-13	5.2%	153,197	Jun-13	8,005	7,951	129,353	Jun-13	2,888	2,600	288	10.0%		153,197	2,600		
79	Jul-13	5.1%	153,075	Jul-13	7,926	7,962	129,255	Jul-13	2,898	2,596	302	10.4%	Jul-13	153,075	2,596		
80	Aug-13	5.0%	153,065	Aug-13	7,922	7,955	129,063	Aug-13	2,898	2,590	308	10.6%		153,065	2,590		
81	Sep-13	5.1%	152,338	Sep-13	7,709	7,889	128,364	Sep-13	2,898	2,584	314	10.8%		152,338	2,584		
82	Oct-13	5.1%	152,132	Oct-13	7,609	7,945	128,276	Oct-13	2,897	2,579	318	11.0%	Oct-13	152,132	2,579		
83	Nov-13	5.1%	150,798	Nov-13	7,449	7,882	127,359	Nov-13	2,897	2,588	309	10.7%		150,798	2,588		
84	Dec-13	5.1%	150,372	Dec-13	7,334	7,820	126,905	Dec-13	2,897	2,588	309	10.7%		150,372	2,588		
85	Jan-14	4.7%	154,862	Jan-14	8,209	7,834	132,034	Jan-14	2,897	2,585	312	10.8%	Jan-14	154,862	2,585		
86	Feb-14	4.7%	157,397	Feb-14	8,274	7,803	134,728	Feb-14	2,897	2,585	312	10.8%		157,397	2,585		
87	Mar-14	4.5%	159,213	Mar-14	7,242	7,704	136,815	Mar-14	2,897	2,589	308	10.6%		159,213	2,589		
88	Apr-14	4.4%	160,682	Apr-14	7,277	7,727	168,157	Apr-14	2,897	2,600	297	10.3%	Apr-14	160,682	2,600		
89	May-14	4.4%	161,647	May-14	7,119	7,751	139,395	May-14	2,897	2,591	306	10.6%		161,647	2,591		
90	Jun-14	4.4%	162,897	Jun-14	7,116	7,745	139,105	Jun-14	2,897	2,606	291	10.0%		162,897	2,606		
91	Jul-14	4.4%	163,903	Jul-14	7,085	7,741	139,881	Jul-14	2,895	2,628	267	9.2%	Jul-14	163,903	2,628		
92	Aug-14	4.4%	171,328	Aug-14	6,871	7,727	150,820	Aug-14	2,895	2,613	282	9.7%		171,328	2,613		
93	Sep-14	4.3%	176,192	Sep-14	6,767	7,679	156,913	Sep-14	2,895	2,597	298	10.3%		176,192	2,597		
94	Oct-14		178,952	Oct-14	6,705	7,657	160,334	Oct-14	2,896	2,587	309	10.7%	Oct-14	178,952	2,587		
95	Nov-14		180,798	Nov-14	6,705	7,607	162,848	Nov-14	2,981	2,624	357	12.0%		180,798	2,624		
96	Dec-14			Dec-14				Dec-14									
97	Jan-15			Jan-15				Jan-15						Jan-15			
98	Feb-15			Feb-15				Feb-15									
99	Mar-15			Mar-15				Mar-15									
100	Apr-15			Apr-15				Apr-15						Apr-15			
101	May-15			May-15				May-15									
102	Jun-15			Jun-15				Jun-15									

CONSUMER ADVOCATE
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ASSISTANT CONSUMER ADVOCATE

OFFICE OF CONSUMER ADVOCATE

21 S. Fruit St., Suite 18
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January 7, 2015

The Honorable Neal Kurk, Chair
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 363:28, III, authorize the Office of the Consumer Advocate (OCA), to enter into a contract for professional services with Ben Johnson Associates, Inc. of 5600 Pimlico Drive, Tallahassee, FL (Vendor #262954) to provide expert services to support OCA's participation in the Liberty Utility rate case Docket No DG-14-180 before the Public Utilities Commission (Commission) from the date of Fiscal Committee and Governor and Council approval to December 31, 2015, in an amount not to exceed \$29,675.

Funds will be available in account 02-81-81-812010-90460000-046-500464, Special Assessment - Ben Johnson Assoc., General Consultants, for FY 2015 and FY 2016, with the authority to adjust encumbrances in each of the State Fiscal years through the Budget Office, if needed and justified:

<u>FY2015</u>	<u>FY2016</u>	<u>Total</u>
\$20,000	\$9,675	\$29,675

EXPLANATION

The OCA is a small agency with five full time positions (the Assistant Consumer Advocate position is currently vacant). We participate in dozens of Commission dockets and related utility proceedings each year. The OCA uses outside experts in specialized areas of public utility proceedings when needed as in this case, the cost of capital and revenue decoupling proposals. Ben Johnson Associates, Inc. recently testified on these issues before the New York State Public Service Commission and is recommended by New York's regulatory advocates. If approved, Ben Johnson Associates will provide expert services to accomplish the following objectives:

G&C Date
Page 1 of 2
Initials *SWC*
Date *1/27/15*

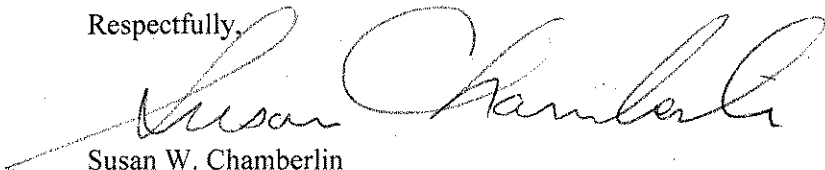
- 1) Review, analyze, and critique the cost of debt and capital structure utilized by the Company, including methodology and inputs, and develop recommended alternatives, to the extent appropriate, based upon a comprehensive evaluation of relevant data.
- 2) Review, analyze, and critique the return on equity and overall cost of capital requested by the Company, including methodology and inputs, and prepare more appropriate cost estimates, while ensuring their conformity with applicable state and federal orders, decisions, regulations, and New Hampshire law.
- 3) Review, analyze, and critique the revenue decoupling proposal and supporting evidence submitted by the Company, including methodology and inputs, and develop recommendations for Commission action with respect to this proposal – including, to the extent appropriate, potential changes which could be made to the proposal to ameliorate some of its deleterious impacts.
- 4) Assist the OCA in formulating and articulating cohesive and internally consistent positions on the issues in this case, particularly ones related to the Company's capital cost and revenue decoupling proposals, including rate design, changing energy markets, the competitive landscape, and evolving regulatory policies at the state and federal level.

RFP Process and Selection

The OCA provided a Request for Proposals (RFP) to consulting firms that work in the field of utility regulation, many of which were recommended by other Consumer Advocate offices around the country. The Consultant list is provided in Exhibit E. The OCA also posted the RFP on our website. Seven firms responded with proposals meeting the requirements of the RFP. Ben Johnson Associates, Inc. proposed a competitive hourly rate, access to expert staff on the questions raised by the utility petition, and a not to exceed price of \$29,675 to complete the contract. The OCA chose Ben Johnson Associates, Inc. as the winning bidder based on the combination of expertise, direct experience and competitive price. Information about Ben Johnson Associates Inc. is provided in Exhibit F.

Thank you for your consideration. Please do not hesitate to contact me with any questions or concerns.

Respectfully,



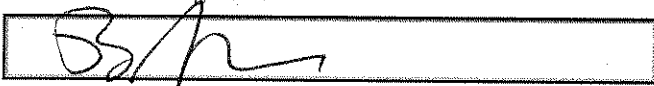
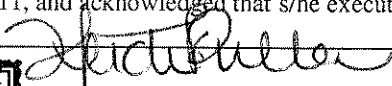
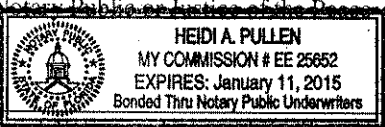
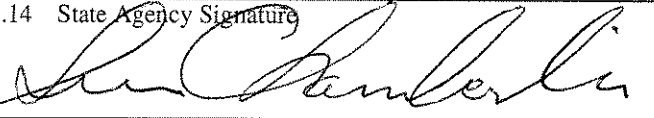
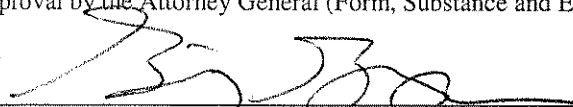
Susan W. Chamberlin
Consumer Advocate

Enclosures: General Provisions Agreement, P-37.
 Exhibit A – Scope of Services
 Exhibit B – Method of Payment
 Exhibit C – Special Provisions
 Exhibit D – Request for Proposal
 Exhibit E – Consultants Contacted
 Exhibit F – Vitae
 Bid Summary
 Certificate of Good Standing
 Corporate Authority to Contract
 Certificate of Insurance

Subject: NH Contract for Services - DG 14-180 **FORM NUMBER P-37 (version 1/09)**

AGREEMENT
The State of New Hampshire and the Contractor hereby mutually agree as follows:
GENERAL PROVISIONS

1. IDENTIFICATION.

1.1 State Agency Name <u>Office of Consumer Advocate</u>		1.2 State Agency Address <u>21 S. Fruit Street, Suite 18, Concord NH 03301</u>	
1.3 Contractor Name <u>Ben Johnson Associates, Inc.</u>		1.4 Contractor Address <u>5600 Pimlico Drive, Tallahassee, FL 32309</u>	
1.5 Contractor Phone Number <u>850-893-8600</u>	1.6 Account Number <u>262954</u>	1.7 Completion Date <u>December 31, 2015</u>	1.8 Price Limitation <u>29,675</u>
1.9 Contracting Officer for State Agency <u>Susan W. Chamberlin</u>		1.10 State Agency Telephone Number <u>603-271-1172</u>	
1.11 Contractor Signature 		1.12 Name and Title of Contractor Signatory <u>Ben Johnson, Ph.D., President and Consulting Economist</u>	
1.13 Acknowledgement: State of <u>Florida</u> , County of <u>Leon</u> On <u>12/4/2014</u> , before the undersigned officer, personally appeared the person identified in block 1.12, or satisfactorily proven to be the person whose name is signed in block 1.11, and acknowledged that s/he executed this document in the capacity indicated in block 1.12.			
1.13.1 Signature of Notary Public or Justice of the Peace  [Seal] 			
1.13.2 Name and Title of Notary or Justice of the Peace <u>Heidi A. Pullen</u>			
1.14 State Agency Signature 		1.15 Name and Title of State Agency Signatory <u>Susan W. Chamberlin</u>	
1.16 Approval by the N.H. Department of Administration, Division of Personnel (if applicable) By: _____ Director, On: _____			
1.17 Approval by the Attorney General (Form, Substance and Execution) By:  On: <u>12/9/14</u>			
1.18 Approval by the Governor and Executive Council By: _____ On: _____			

2. EMPLOYMENT OF CONTRACTOR/SERVICES TO BE PERFORMED. The State of New Hampshire, acting through the agency identified in block 1.1 ("State"), engages contractor identified in block 1.3 ("Contractor") to perform, and the Contractor shall perform, the work or sale of goods, or both, identified and more particularly described in the attached EXHIBIT A which is incorporated herein by reference ("Services").

3. EFFECTIVE DATE/COMPLETION OF SERVICES.
3.1 Notwithstanding any provision of this Agreement to the contrary, and subject to the approval of the Governor and Executive Council of the State of New Hampshire, this Agreement, and all obligations of the parties hereunder, shall not become effective until the date the Governor and Executive Council approve this Agreement ("Effective Date").
3.2 If the Contractor commences the Services prior to the Effective Date, all Services performed by the Contractor prior to the Effective Date shall be performed at the sole risk of the Contractor, and in the event that this Agreement does not become effective, the State shall have no liability to the Contractor, including without limitation, any obligation to pay the Contractor for any costs incurred or Services performed. Contractor must complete all Services by the Completion Date specified in block 1.7.

4. CONDITIONAL NATURE OF AGREEMENT. Notwithstanding any provision of this Agreement to the contrary, all obligations of the State hereunder, including, without limitation, the continuance of payments hereunder, are contingent upon the availability and continued appropriation of funds, and in no event shall the State be liable for any payments hereunder in excess of such available appropriated funds. In the event of a reduction or termination of appropriated funds, the State shall have the right to withhold payment until such funds become available, if ever, and shall have the right to terminate this Agreement immediately upon giving the Contractor notice of such termination. The State shall not be required to transfer funds from any other account to the Account identified in block 1.6 in the event funds in that Account are reduced or unavailable.

5. CONTRACT PRICE/PRICE LIMITATION/PAYMENT.
5.1 The contract price, method of payment, and terms of payment are identified and more particularly described in EXHIBIT B which is incorporated herein by reference.
5.2 The payment by the State of the contract price shall be the only and the complete reimbursement to the Contractor for all expenses, of whatever nature incurred by the Contractor in the performance hereof, and shall be the only and the complete compensation to the Contractor for the Services. The State shall have no liability to the Contractor other than the contract price.
5.3 The State reserves the right to offset from any amounts otherwise payable to the Contractor under this Agreement those liquidated amounts required or permitted by N.H. RSA 80:7 through RSA 80:7-c or any other provision of law.

5.4 Notwithstanding any provision in this Agreement to the contrary, and notwithstanding unexpected circumstances, in no event shall the total of all payments authorized, or actually made hereunder, exceed the Price Limitation set forth in block 1.8.

6. COMPLIANCE BY CONTRACTOR WITH LAWS AND REGULATIONS/ EQUAL EMPLOYMENT OPPORTUNITY.
6.1 In connection with the performance of the Services, the Contractor shall comply with all statutes, laws, regulations, and orders of federal, state, county or municipal authorities which impose any obligation or duty upon the Contractor, including, but not limited to, civil rights and equal opportunity laws. In addition, the Contractor shall comply with all applicable copyright laws.
6.2 During the term of this Agreement, the Contractor shall not discriminate against employees or applicants for employment because of race, color, religion, creed, age, sex, handicap, sexual orientation, or national origin and will take affirmative action to prevent such discrimination.
6.3 If this Agreement is funded in any part by monies of the United States, the Contractor shall comply with all the provisions of Executive Order No. 11246 ("Equal Employment Opportunity"), as supplemented by the regulations of the United States Department of Labor (41 C.F.R. Part 60), and with any rules, regulations and guidelines as the State of New Hampshire or the United States issue to implement these regulations. The Contractor further agrees to permit the State or United States access to any of the Contractor's books, records and accounts for the purpose of ascertaining compliance with all rules, regulations and orders, and the covenants, terms and conditions of this Agreement.

7. PERSONNEL.
7.1 The Contractor shall at its own expense provide all personnel necessary to perform the Services. The Contractor warrants that all personnel engaged in the Services shall be qualified to perform the Services, and shall be properly licensed and otherwise authorized to do so under all applicable laws.
7.2 Unless otherwise authorized in writing, during the term of this Agreement, and for a period of six (6) months after the Completion Date in block 1.7, the Contractor shall not hire, and shall not permit any subcontractor or other person, firm or corporation with whom it is engaged in a combined effort to perform the Services to hire, any person who is a State employee or official, who is materially involved in the procurement, administration or performance of this Agreement. This provision shall survive termination of this Agreement.
7.3 The Contracting Officer specified in block 1.9, or his or her successor, shall be the State's representative. In the event of any dispute concerning the interpretation of this Agreement, the Contracting Officer's decision shall be final for the State.

Contractor Initials BG JWC
Date 12-4-14

8. EVENT OF DEFAULT/REMEDIES.

8.1 Any one or more of the following acts or omissions of the Contractor shall constitute an event of default hereunder ("Event of Default"):

- 8.1.1 failure to perform the Services satisfactorily or on schedule;
 - 8.1.2 failure to submit any report required hereunder; and/or
 - 8.1.3 failure to perform any other covenant, term or condition of this Agreement.
- 8.2 Upon the occurrence of any Event of Default, the State may take any one, or more, or all, of the following actions:
- 8.2.1 give the Contractor a written notice specifying the Event of Default and requiring it to be remedied within, in the absence of a greater or lesser specification of time, thirty (30) days from the date of the notice; and if the Event of Default is not timely remedied, terminate this Agreement, effective two (2) days after giving the Contractor notice of termination;
 - 8.2.2 give the Contractor a written notice specifying the Event of Default and suspending all payments to be made under this Agreement and ordering that the portion of the contract price which would otherwise accrue to the Contractor during the period from the date of such notice until such time as the State determines that the Contractor has cured the Event of Default shall never be paid to the Contractor;
 - 8.2.3 set off against any other obligations the State may owe to the Contractor any damages the State suffers by reason of any Event of Default; and/or
 - 8.2.4 treat the Agreement as breached and pursue any of its remedies at law or in equity, or both.

9. DATA/ACCESS/CONFIDENTIALITY/RESERVATION.

- 9.1 As used in this Agreement, the word "data" shall mean all information and things developed or obtained during the performance of, or acquired or developed by reason of, this Agreement, including, but not limited to, all studies, reports, files, formulae, surveys, maps, charts, sound recordings, video recordings, pictorial reproductions, drawings, analyses, graphic representations, computer programs, computer printouts, notes, letters, memoranda, papers, and documents, all whether finished or unfinished.
- 9.2 All data and any property which has been received from the State or purchased with funds provided for that purpose under this Agreement, shall be the property of the State, and shall be returned to the State upon demand or upon termination of this Agreement for any reason.
- 9.3 Confidentiality of data shall be governed by N.H. RSA chapter 91-A or other existing law. Disclosure of data requires prior written approval of the State.

10. TERMINATION. In the event of an early termination of this Agreement for any reason other than the completion of the Services, the Contractor shall deliver to the Contracting Officer, not later than fifteen (15) days after the date of termination, a report ("Termination Report") describing in detail all Services performed, and the contract price earned, to and including the date of termination. The form, subject matter, content, and number of copies of the Termination

Report shall be identical to those of any Final Report described in the attached EXHIBIT A.

11. CONTRACTOR'S RELATION TO THE STATE. In the performance of this Agreement the Contractor is in all respects an independent contractor, and is neither an agent nor an employee of the State. Neither the Contractor nor any of its officers, employees, agents or members shall have authority to bind the State or receive any benefits, workers' compensation or other emoluments provided by the State to its employees.

12. ASSIGNMENT/DELEGATION/SUBCONTRACTS. The Contractor shall not assign, or otherwise transfer any interest in this Agreement without the prior written consent of the N.H. Department of Administrative Services. None of the Services shall be subcontracted by the Contractor without the prior written consent of the State.

13. INDEMNIFICATION. The Contractor shall defend, indemnify and hold harmless the State, its officers and employees, from and against any and all losses suffered by the State, its officers and employees, and any and all claims, liabilities or penalties asserted against the State, its officers and employees, by or on behalf of any person, on account of, based or resulting from, arising out of (or which may be claimed to arise out of) the acts or omissions of the Contractor. Notwithstanding the foregoing, nothing herein contained shall be deemed to constitute a waiver of the sovereign immunity of the State, which immunity is hereby reserved to the State. This covenant in paragraph 13 shall survive the termination of this Agreement.

14. INSURANCE.

- 14.1 The Contractor shall, at its sole expense, obtain and maintain in force, and shall require any subcontractor or assignee to obtain and maintain in force, the following insurance:
 - 14.1.1 comprehensive general liability insurance against all claims of bodily injury, death or property damage, in amounts of not less than \$250,000 per claim and \$2,000,000 per occurrence; and
 - 14.1.2 fire and extended coverage insurance covering all property subject to subparagraph 9.2 herein, in an amount not less than 80% of the whole replacement value of the property.
- 14.2 The policies described in subparagraph 14.1 herein shall be on policy forms and endorsements approved for use in the State of New Hampshire by the N.H. Department of Insurance, and issued by insurers licensed in the State of New Hampshire.
- 14.3 The Contractor shall furnish to the Contracting Officer identified in block 1.9, or his or her successor, a certificate(s) of insurance for all insurance required under this Agreement. Contractor shall also furnish to the Contracting Officer identified in block 1.9, or his or her successor, certificate(s) of insurance for all renewal(s) of insurance required under this Agreement no later than fifteen (15) days prior to the expiration date of each of the insurance policies. The certificate(s) of insurance and any renewals thereof shall be

Contractor Initials *BJS*
Date *12/11/14*

attached and are incorporated herein by reference. Each certificate(s) of insurance shall contain a clause requiring the insurer to endeavor to provide the Contracting Officer identified in block 1.9, or his or her successor, no less than ten (10) days prior written notice of cancellation or modification of the policy.

15. WORKERS' COMPENSATION.

15.1 By signing this agreement, the Contractor agrees, certifies and warrants that the Contractor is in compliance with or exempt from, the requirements of N.H. RSA chapter 281-A ("*Workers' Compensation*").

15.2 To the extent the Contractor is subject to the requirements of N.H. RSA chapter 281-A, Contractor shall maintain, and require any subcontractor or assignee to secure and maintain, payment of Workers' Compensation in connection with activities which the person proposes to undertake pursuant to this Agreement. Contractor shall furnish the Contracting Officer identified in block 1.9, or his or her successor, proof of Workers' Compensation in the manner described in N.H. RSA chapter 281-A and any applicable renewal(s) thereof, which shall be attached and are incorporated herein by reference. The State shall not be responsible for payment of any Workers' Compensation premiums or for any other claim or benefit for Contractor, or any subcontractor or employee of Contractor, which might arise under applicable State of New Hampshire Workers' Compensation laws in connection with the performance of the Services under this Agreement.

16. WAIVER OF BREACH. No failure by the State to enforce any provisions hereof after any Event of Default shall be deemed a waiver of its rights with regard to that Event of Default, or any subsequent Event of Default. No express failure to enforce any Event of Default shall be deemed a waiver of the right of the State to enforce each and all of the provisions hereof upon any further or other Event of Default on the part of the Contractor.

17. NOTICE. Any notice by a party hereto to the other party shall be deemed to have been duly delivered or given at the time of mailing by certified mail, postage prepaid, in a United States Post Office addressed to the parties at the addresses given in blocks 1.2 and 1.4, herein.

18. AMENDMENT. This Agreement may be amended, waived or discharged only by an instrument in writing signed by the parties hereto and only after approval of such amendment, waiver or discharge by the Governor and Executive Council of the State of New Hampshire.

19. CONSTRUCTION OF AGREEMENT AND TERMS.

This Agreement shall be construed in accordance with the laws of the State of New Hampshire, and is binding upon and inures to the benefit of the parties and their respective successors and assigns. The wording used in this Agreement is the wording chosen by the parties to express their mutual

intent, and no rule of construction shall be applied against or in favor of any party.

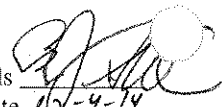
20. THIRD PARTIES. The parties hereto do not intend to benefit any third parties and this Agreement shall not be construed to confer any such benefit.

21. HEADINGS. The headings throughout the Agreement are for reference purposes only, and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.

22. SPECIAL PROVISIONS. Additional provisions set forth in the attached EXHIBIT C are incorporated herein by reference.

23. SEVERABILITY. In the event any of the provisions of this Agreement are held by a court of competent jurisdiction to be contrary to any state or federal law, the remaining provisions of this Agreement will remain in full force and effect.

24. ENTIRE AGREEMENT. This Agreement, which may be executed in a number of counterparts, each of which shall be deemed an original, constitutes the entire Agreement and understanding between the parties, and supersedes all prior Agreements and understandings relating hereto.

Contractor Initials 
Date 12-9-14

Scope of Services

Ben Johnson Associates, Inc. will provide expert services to accomplish the following objectives:

Objective 1: Review, analyze, and critique the cost of debt and capital structure utilized by the Company, including methodology and inputs, and develop recommended alternatives, to the extent appropriate, based upon a comprehensive evaluation of relevant data.

Objective 2: Review, analyze, and critique the return on equity and overall cost of capital requested by the Company, including methodology and inputs, and prepare a more appropriate cost estimates, while ensuring their conformity with applicable state and federal orders, decisions and regulations, and New Hampshire law.

Objective 3: Review, analyze, and critique the revenue decoupling proposal and supporting evidence submitted by the Company, including methodology and inputs, and develop recommendations for Commission action with respect to this proposal - including, to the extent appropriate, potential changes which could be made to the proposal to ameliorate some of its deleterious impacts.

Objective 4: Assist the OCA in formulating and articulating cohesive and internally consistent positions on the issues in this case, particularly ones related to the Company's capital cost and revenue decoupling proposals, including rate design, changing energy markets, the competitive landscape, and evolving regulatory policies at the state and federal level.

We propose to perform the following specific tasks:

- Review the Company's prefiled testimony and exhibits.
- Compile, update and analyze economic and financial data.
- Review past decisions concerning cost of capital, revenue decoupling and related issues, in co-ordination with the OCA.
- Prepare data requests and analyze responses, including ones submitted by the Staff and other parties.
- Prepare for and attend technical sessions as requested.
- Prepare work papers and exhibits.
- Develop an independent analysis of the Company's current cost of capital, including its cost of equity, cost of debt, and appropriate capital structure.

Contractor Initials

Date

BJA/SUC
12/4/14

- Develop an independent analysis of the Company's revenue decoupling proposal, including potential modifications or alternatives to ameliorate deleterious impacts.
- Prepare detailed testimony setting forth and explaining our analyses and recommendations.
- Review data requests submitted to the OCA and assist with preparing responses.
- Review other parties' testimony and the Company's rebuttal testimony.
- Assist with hearing preparation, including drafting questions for cross-examination.
- Prepare for and attend settlement conferences and/or the hearing, as scheduled by the Commission.
- Assist with preparation of pleadings, including motions to compel responses to discovery, post hearing briefs, and other assistance as needed.

Contractor Initials

Date

BJL
12/4/14

PAYMENT TERMS

We charge for our services on the basis of the time devoted to this project in accordance with the following hourly rates:

- Consulting Economist \$175.00
- Senior Research Analyst 95.00

In addition to our consulting fees, we also charge for out-of-pocket travel expenses. The travel and per diem estimate assumes two trips to New Hampshire from Tallahassee to attend Technical Sessions, Settlement Conferences, and/or the hearing, based upon discounted coach airfare.

Invoices will be based on actual time expended, in increments of quarter hours. The amount shall not exceed the contract ceiling of \$29,675.

Date 12/4/14

BAJ Contractor Initials ML

SPECIAL PROVISIONS

WAIVER OF INSURANCE

OCA requests a waiver of the liability insurance requirements found in Section 14 of the General Services Agreement. No goods are being purchased and the work will not be performed on State premises. The contractor's only presence on State premises will be related to or for the purposes of attending technical sessions and public hearings before the Public Utilities Commission. This contractor presents minimal liability risk to the State, similar to that presented by any other member of the public attending a Commission meeting or hearing.

Therefore, Ben Johnson Associates, Inc. is in compliance with, or should be exempt from, the requirements of NH RSA chapter 281-A.

Date 12/4/11

Contractor Initials 

September 22, 2014

**NEW HAMPSHIRE OFFICE OF THE CONSUMER ADVOCATE
REQUEST FOR PROPOSALS
FOR SERVICES RELATED TO PARTICIPATING IN
NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION
DOCKET DG 14-180
LIBERTY UTILITIES (ENERGYNORTH NATURAL GAS) CORP.
D/B/A LIBERTY UTILITIES
DELIVERY RATE PROCEEDING**

Dear Prospective Bidder:

The New Hampshire Office of the Consumer Advocate (“OCA”) requests proposals from qualified firms or individuals to provide consulting services to the OCA related to representing the interests of residential customers of Liberty Utilities (EnergyNorth Natural Gas) Corp. d/b/a Liberty Utilities (“Liberty”) in a distribution rate proceeding before the New Hampshire Public Utilities Commission (“Commission”). Specifically, the OCA seeks through this Request for Proposals (RFP) to retain one or more experts to advise it on the Liberty’s cost of capital and revenue decoupling proposals.

The following dates and information apply to this RFP:

1. Completed proposals must be received via email by the OCA by 4:00 p.m. on October 10, 2014. Please submit proposals to:

Susan Chamberlin, Consumer Advocate
New Hampshire Office of the Consumer Advocate
21 South Fruit Street, Suite 18
Concord, NH 03301
ocalitigation@oca.nh.gov

2. Follow-up conferences/interviews will be scheduled as needed.
3. The OCA will evaluate the proposals as described herein.

I. BACKGROUND

On August 1, 2014, Liberty filed a new permanent delivery rate tariff for natural gas service as well as a petition for temporary delivery rates, pursuant to RSA 378:27, effective with service rendered on and after September 1, 2014.¹ Within the context of its filings, Liberty has also requested Commission approval for a step increase for certain capital investments used to serve customers on or before March 31, 2015, which step increase would go into effect concurrent with the permanent rate increase. Liberty's proposed permanent rate increase will increase the rates paid by residential heating customers and residential non-heating customers by approximately 7.86 percent annually and 9.65 percent annually, respectively. When combined with the requested step adjustment, the proposed increases will result in total annual bill increases for average residential customers of approximately \$131 or 9.64 percent (residential heating) and \$59 or 12.13 percent (residential non-heating). The cost of capital embedded within these rate increases includes a 10.25% return on equity (ROE) (*i.e.*, the return paid to equity shareholders). Testimony of Robert B. Hevert, Bates p. 526. This proposed ROE compares to Liberty's currently-authorized ROE of 9.67%. Order 25,202 (DG 10-017, March 10, 2012).

In addition to its requests for increases in rates, Liberty proposed changes to its rate design (*i.e.*, the manner in which revenues are allocated across customers) including a Revenue per Customer (RPC) decoupling mechanism (RDM).² In support of its RPC RDM proposal, Liberty asserts that energy-efficiency policies, which drive existing energy efficiency programs implemented by Liberty (and other utilities), negatively impact its revenues and earnings, which are – in traditional ratemaking - dependent upon – or coupled with – the volume of gas sold to customers; when Liberty helps customers become more efficient (*i.e.*, reduce gas consumption), Liberty sells less gas, collects less revenue and earns less. Liberty reasons that its RPC RDM will mitigate revenue and earnings uncertainty created by changing economic conditions and weather.

Essentially, Liberty's RPC RDM is intended to remove the traditional link between revenues and earnings and the volume of natural gas delivered to customers. Order of Notice, p. 2; Simpson Testimony, Bates p. 418. According to Liberty, the RPC RDM will enable it to "remain an effective champion of energy efficiency initiatives without the financial disincentives that currently exist and to mitigate the impact on the Company's earnings from energy efficiency programs, changing economic conditions, and weather that is warmer or colder than normal." Testimony of Daniel G. Saad, Bates pp. 73-74; see Testimony of James D. Simpson, Bates p. 417 (proposed revenue decoupling mechanism "will (a) allow [Liberty] to remain a forceful advocate for energy conservation efforts; and (b) provide [Liberty] with a better opportunity to earn a reasonable rate of return in spite of the continuing effect of [its energy efficiency] programs and customer conservation on the Company's throughput volumes, distribution base revenues and earnings.") and Bates p. 419 ("between rate cases, because the RDM has made that utility indifferent to the total quantity of gas delivered, there is no financial incentive to increase gas deliveries to existing

¹ The petition and subsequent docket filings, other than any information for which confidential treatment is requested of or granted by the Commission, will be posted to the Commission's website at <http://www.puc.nh.gov/Regulatory/Docketbk/2014/14-180.html>.

² A related rate-design proposal – to increase the proportion of the Liberty's total distribution revenues that are derived from customer charge revenues – will be examined in these proceedings by a cost of service/rate design expert already retained by the OCA under a contract previously approved by the Governor and Executive Council.

customers and there is no financial disincentive to providing effective energy efficiency programs”).

Both the cost of capital and revenue decoupling proposals are complex and significant to the outcome of this proceeding. Both proposals – if approved – will increase customer rates or the likelihood of increased rates. In order to present an affirmative position on these proposals and related issues, the OCA requires expert assistance, and the OCA’s staff does not possess such expertise.

The period of time applicable to the requested services is from the date of approval of the proposal by the Governor and Executive Council until the end of the rate case, which we expect to be completed by October 2015.

II. SCOPE OF SERVICES

The consultant(s) chosen will be expected to focus on the issues related to the cost of capital and revenue decoupling proposals originally filed by Liberty, as well as any modifications arising during the course of these proceedings. Among others, deliverables may include:

- Review and analysis of Liberty’s initial filing, focusing on the cost of capital and revenue decoupling proposals;
- Preparation of discovery requests related to Liberty;
- Review and analysis of Liberty’s responses to discovery requests;
- Preparation of pre-filed written testimony on behalf of the OCA;
- Response to discovery requests on testimony;
- Review and analysis of testimony filed by other parties as well as Liberty’s rebuttal testimony, if any;
- Review and analysis of data responses on other parties’ testimony or Liberty’s rebuttal, if any;
- Assistance with settlement discussions;
- Assistance with hearing preparation including drafting questions for cross examination;
- Attendance at technical sessions, settlement conferences and/or hearings;
- Assistance with the preparation of pleadings, including motions to compel responses to discovery requests and post-hearing briefs; and
- Other assistance as needed.

III. CONFIDENTIALITY

The contractor agrees to maintain confidential all information to which it has access until such time as it is instructed otherwise by the OCA. The contractor agrees to execute a nondisclosure agreement if necessary.

IV. WORK PAPERS

At the conclusion of the work, the contractor will make available to the OCA summaries of significant work papers and source documents as requested.

V. COMPONENTS OF THE PROPOSAL

The following is a list of the information to be provided in the proposal. Bidders should respond to all areas listed below, in the order listed, and conclude with a separate section on cost.

1. *Corporate/Company Information.* Contractor must provide the OCA with information concerning its corporate/company history; *i.e.*, how many years in business, corporate officers or company principals, location of main and any branch offices, professional and business association memberships, etc.
2. *Personnel Assigned.* Contractor must provide the OCA with a list of all personnel who might be assigned to this project, including the project manager (if applicable) and detailed resumes and summaries of each individual reflecting their relevant experience and the nature of their specific responsibilities. During the course of the work, the OCA must approve in writing any substitutions or changes in personnel assigned to perform the work.
3. *Detailed Budget Proposal.* Provide the OCA with a detailed cost proposal, as an attachment to the proposal, that identifies the hourly rate for personnel and any associated expenses, an estimate of the time allocated for each task related to the project, any travel expenses, and a not-to-exceed budget amount. The OCA has a very limited annual budget for expert witnesses and consultants.
4. *References.* Contractor must provide the OCA with a list of up to three references for work performed which is similar in scope or content to the services sought through this RFP, preferably work performed within the past 5 years.
5. *Relevant Writing Samples.* Contractor must provide writing samples such as testimony in utility base rate cases on the issue of rate decoupling mechanisms or other rate design testimony. Electronic links to documents are preferred over hard copies.
6. *Statement of Disclosure.* Contractor must identify any existing or potential conflicts of interest including those that arise as a result of relationships or affiliations with utility companies under the jurisdiction of the NHPUC or their affiliates.
7. *Schedule Conflicts.* Contractor must identify any pre-existing professional and personal obligations during 2014 and the first 10 months of 2015, which may require consideration in scheduling the procedural schedule for the docket.

VI. CRITERIA FOR SELECTION

Cost is a consideration but may not be the determining factor in the OCA's decision. In assessing the proposals received and selecting a consultant, the OCA will consider the following criteria:

- a. Knowledge and practical experience that the organization possesses, including that of the staff and any subcontractors assigned to the project.
- b. Experience and qualifications in providing similar services in New Hampshire as well as other states and to other state advocates or regulatory agencies.
- c. Availability and accessibility of staff assigned to project, including physical proximity to New Hampshire and travel costs.
- d. Ability to perform and complete the work requested.
- e. Cost of consulting services and expenses, including the competitiveness of the proposed hourly rates and any proposed discounts or other cost-effective benefits. (The OCA reserves the right to negotiate lower fees or a different fee structure than proposed with any selected firm(s).)
- f. The commitment and role of the firm's proposed project manager in charge of providing quality services to the OCA.
- g. Overall responsiveness to the requirements of the RFP, including completeness, clarity and quality of the proposal.
- h. Interviews, if performed.

VII. GENERAL BID CONDITIONS

Bids must be typed. One original hard copy and one electronic copy in PDF format must be received. Bids that are incomplete or unsigned will not be considered. The deadline for submitting bids electronically is 4:00 p.m. on October 10, 2014 (a hard copy may be mailed by that date). Bids should be addressed to Susan Chamberlin, Consumer Advocate, Office of Consumer Advocate, 21 South Fruit Street, Suite 18, Concord, NH 03301 and, via email, to ocalitigation@oca.nh.gov.

The OCA reserves the right to reject or accept any or all bids, to reject or accept all or any part of any bid, to determine what constitutes a conforming bid, to waive irregularities that it considers not material to the bid, to award the bid solely as it deems to be in the best interest of the State, to contract for any portion of the bids submitted, and to contract with more than one bidder if necessary.

All information relating to this bid (including but not limited to fees, contracts, agreements and prices) are subject to the laws of the State of New Hampshire regarding public information.

Any contract awarded from this RFP will expire on December 31, 2015. For each Project Assignment, the Consultant may be required to conduct a project scoping meeting with the OCA. The purpose of the meeting is to review and refine the scope, task and project approach requirements, establish a project plan, with key deliverables and milestone dates, and to establish project management and communication protocols to ensure that the information needs of both the OCA and the Consultant are satisfied.

The OCA at any time, in its sole discretion, may terminate the contract, or postpone or delay all or any part of the contract, upon written notice.

VIII. CERTIFICATES

Bidders will be required to provide the following certificates prior to entering into a contract:

Secretary of State's Office Certificate of Good Standing ("CGS")	Individuals contracting in their own name do not need a CGS. Business organizations and trade names need a CGS, except for nonresident nonprofit corporations.
Certificate of Vote Authority ("CVA")	Individuals contracting in their own name do not need a CVA. Business entities and trade names need a CVA.
Certificate of Insurance	Certificate of Insurance form attached with insurance coverage required under the contract. Modifications of insurance coverage required under the contract will be specified in Exhibit C.
Workers' Compensation	Contractor must demonstrate compliance with or exception from RSA 281-A (and if applicable, RSA 228:4-b and RSA 21-I:80, and any other applicable laws or rules).

IX. FORM OF CONTRACT

The terms and conditions set forth in Attachment 1 Form P-37 (v. 1/09) General Provisions Agreement are part of the proposal and will apply to any contract awarded the bidder. Any contract resulting from this bid proposal shall not be deemed effective until it is signed by the Consumer Advocate and approved by the Governor and Executive Council.

<u>Company Name</u>	<u>Street Address</u>	<u>City/Town</u>	<u>State</u>	<u>Zip</u>	<u>Phone</u>
Baldwin, Susan	17 Arlington Street	Newburyport	MA		1950 978-255-2344
Snake Hill Energy Resources, Inc.	17 Cody Dr	North Scituate	RI	02857-291	401-934-1433
Brevitz Consulting Services	3623 SW Woodvalley Terr	Topeka	KS	66614	785-266-8769
Hill Associates	PO Box 587 4000 Benedict Rd	Hurricane	WV	25526	304-562-3645
La Capra Associates, Inc.	One Washington Mall, 9th Fl	Boston	MA	2110	617-778-5515
Michael W. Holmes, Esq.	18 Holton Cir	Londonderry	NH	3053	603-432-5425
Rolka Loube Saltzer Associates, LLC	10601 Cavalier Dr, MD	Silver Spring	MD	20901	717-231-6661
Scott J. Rubin	333 Oak Ln	Bloomsburg	PA	17815	570-387-1893
Synapse Energy Economics, Inc.	485 Massachusetts Ave, Ste 2	Cambridge	MA	2139	617-661-3248
Ariadair Economics Group	1020 Fredericksburg Rd	Excelsior Springs	MO	64024	816-630-0628
Berger Law firm, P.C.	2104 Market St	Camp Hill	PA	17011	888-602-3114
DAI Management Consultants	1370 Washington Pike	Bridgeville	PA	15017	412-220-8920
Garrett Group L.L.C.	211 North Robinson Ave Ste 340	Oklahoma City	OK	73102	405-239-2226
The Columbia Group, Inc.	PO Box 810	Georgetown	CT	6829	203-438-2999
Vantage Consulting, Inc.	PO Box 420395	Summerland Key	FL	33042	305-744-3440
Natural Gas & Utility Consulting	35 Lincoln St, MA 01810	Andover	MA	1810	978-409-1392
WHN Consulting	19 Morning Arbor Pl	The Woodlands	TX	7731	713-298-1760
NBrockway & Associates	10 Allen St	Boston	MA	2131	617-645-4018
Roycroft Consulting	51 Sea Meadow Ln	Brewster	MA	2631	508-896-0151
Fox, Smolen & Associates, Inc.	1701 Nueces Street	Austin	TX	78701	888-822-9090
Glenn Blackmon	203 20th Ave SE	Olympia	WA	98501	360 556-7888
DSW Consulting, LLC	9 East St	Stoneham	MA	2180	617-938-3604
Curry & Associates	1509 Mearns Meadow Blvd	Austin	TX	78758	512-835-1585
Economics and Technology, Inc.	Two Center Plaza, Suite 400	Boston	MA	2108	617-227-5535
Barbara R. Alexander	83 Wedgewood Dr	Winthrop	ME	4364	207-395-4143
Christensen Associates Energy Consulting	800 University Bay Dr, Ste 400	Madison	WI	53705	608-231-2266
Micronomics, Inc.	1401 New York Ave, N.W., Ste 1200	Washington	DC	2005	202-408-0272
David Gardiner & Associates, LLC	28 Indian Ln	Marshfield	MA	2050	781-834-7968
Blue Ridge Consulting Services, Inc.	2131 Woodruff Rd, Ste 2100, PMB 309	Greenville	SC	29607	864-331-0700
Fisher Sheehan & Colton	34 Warwick Rd	Belmont	MA	2478	617-484-0597
Hudson River Energy Group	120 Washington Ave	Albany	NY	12210	518-436-1628
Overland Consulting	11551 Ash St, Ste 215	Leawood	KS	66211	913-599-3323
Ronald J. Binz	333 Eudora St, Ste 100	Denver	CO	80220	303-355-7528

Office of Consumer Advocate
Exhibit E

Russell Consulting	15 Titcomb St., Ste 100	Newburyport	MA	1950 978-462-2261
D'Onofrio & Associates, LLC	8870 Turfway Bend Dr	Powell	OH	43065 614-873-2323
Gorham, Gold, Greenwich & Associates	PO Box 23626	Overland Park	KS	66283 913-814-9820
NorthPoint Consulting Group, LLC	1 Oak Ridge Rd, Bldg #2 Ste 10B	West Lebanon	NH	3784 603-643-4165
William Dunkel and Associates	8625 Farmington Cemetery Rd	Pleasant Plains	IL	62677 217 -626-1476
Tellus Institute	11 Arlington St	Boston	MA	2116 617-266-5400
SVL Holding Corporation	1620 N Placentia Ave # 100	Placentia	CA	92870 714- 986-2222
Pacific Economics Group	22 E Mifflin St, Ste 302	Madison	WI	53703 608-257-1522
Ostrander Consulting	1121 SW Chetopa Tr	Topeka	KS	66615 785-478-9099
Martin Roth Cohen & Associates	2633 W Sunnyside Ave	Chicago	IL	60625 773-343-5369
Liberty Consulting Group				
Accion	65 A Ridge Rd	Deerfield	NH	3037
Lawrence Lackey	2359 Stowe Hollow Rd	Stowe	VT	5672 802-253-7966
Larkin & Associates, PLLC	15728 Farmington Rd	Livonia	MI	48154 734-522-3420
J. Randall Woolridge, PhD	120 Haymaker Cir	State College	PA	16801 814-238-9428
Henkes Consulting	7 Sunset Rd	Old Greenwich	CT	6870 203-698-1989
Glenn Blackmon, PhD	203 20th Ave SE	Olympia	WA	98501 360- 556-7888
Diversified Utility Consultants, Inc.	1912 W Anderson Ln	Austin	TX	78757 512-257-2600
Cicchetti & Co.	6705 Tim Tam Tr	Tallahassee	FL	32309 850 508 4141
Brown Williams Moorhead & Quinn, Inc.	1155 15th St N.W., Ste 400	Washington	DC	20005 202-775-8994
Paulina McCarter Collins, Esq.	10 River Place Dr, Apt 10106	Portland	ME	4106 207-542-0973
Gable Communications, Inc.	31 Stearns St	Newton	MA	2459 617-243-0093
Economists Incorporated	2121 K St, NW	Washington	D.C.	20037 202-223-4700
Exeter Associates, Inc.	5565 Sterrett Pl, Ste 310	Columbia	MD	21044 410-992-7500
David Townsend & Associates	17 Lawrence Rd	Swampscott	MA	1907 781-447-9356
Acadian Consulting Group	5800 One Perkins Place Dr, Ste 5-F	Baton Rouge	LA	70808 225-769-2603
Utilitech, Inc.	3020 Penn Ave	West Lawn	PA	19609 800-238-6753
The Regulatory Assistance Project	50 State St, Ste 3	Montpelier	VT	5602 802-223-8199
QSI Consulting, Inc.	3504 Sundance Drive	Springfield	IL	62711 217-726-7334
Causey Engineering, LLC	PO Box 341057-0018	Austin	TX	78734 512-261-3930
McFadden Consulting	636 South Monroe Wy, Ste 101	Denver	CO	80209 303-733-0999
Resource Insight, Inc.	Five Water Street	Arlington	MA	2476 781-646-1505
Brubaker & Associates, Inc.	PO Box 412000	St. Louis	MO	63141 636- 898-6725
Ben Johnson Associates, Inc.	3854 - 2 Killearn Court	Tallahassee	FL	32309 850-893-8600

Yankel & Associates Inc Vermont Energy Investment Corp. Signal Hill	29814 Lake Rd	Cleveland	OH	44140 440-892-1248
Robert J. Plonski Snively King Majoros O'Connor & Lee, Inc. Schlissel Technical Consulting	301 N Progress Ave, Apt C12 1111 14th Street, NW - Suite 300	Harrisburg Washington	PA DC	17109 717-623-0226 20005 202-371-9153
SAGE Management Consultants, LLC Pradip Chattonpadhyay Maureen Reno		Concord	NH	603-210-1013

Ben Johnson

Present position

Consulting Economist
Ben Johnson Associates, Inc. ®

Education

B.A. with honors, Economics - University of South Florida
M.S., Economics - Florida State University
Ph.D., Economics - Florida State University

Firm experience

As its founder and president, Dr. Johnson has developed the firm's approach to economic analyses. He has prepared and presented expert testimony on more than 300 occasions before state and federal courts and administrative agencies throughout the United States and Canada, involving the application of economic theory and principles to public policy, regulation, and management decision-making.

Dr. Johnson's doctoral areas of specialization were public finance (including taxation) and industrial organization (including utility regulation). His areas of professional specialization include antitrust, competition, cost analysis, and deregulation.

Dr. Johnson has been actively involved in more than 400 regulatory dockets, concerning electric, gas and other utilities. His work has spanned a wide range of different subject areas, involving the application of economic theory and principles to public policy, revenue requirements, rate of return and rate design issues. He has presented expert testimony on more than 300 occasions before the Federal Communications Commission, the Interstate Commerce Commission, and utility regulatory commissions in 35 states, two Canadian provinces, and the District of Columbia.

Dr. Johnson's experience in the electric utility field includes the full array of traditional rate base/rate of return issues, plus many issues involving resource planning, cogeneration and small and independent power production, avoided costs, cost/benefit analysis, resource life-cycle cost comparisons, feasibility studies, financial planning and modeling, and transmission constraints.

Dr. Johnson's clients have included a wide variety of public agencies and private corporations. Among the former are regulatory commissions in 14 states and the District of Columbia; public counsels in 15 states and the District of Columbia; attorneys general in 9 states; the Okeechobee County Property Appraiser; the Manatee County Property Appraiser; the Sarasota County Property Appraiser; the Utah Attorney General's Office; the United States Department of Justice--Antitrust Division; the Canadian Department of Communications; the National Association of State Utility Consumer Advocates; dozens of municipal governments; the Florida Department of General Services; the Florida Municipal Electric Association; and the Provincial Government of Ontario.

Dr. Johnson's corporate and institutional clients have included: AMERICALL, Arkansas Telephone Company, Inc., BC Rail, Blountsville Telephone Company,

Casco Bank and Trust, Consumers' Voice, Depositors Bank and Trust, East Maine Medical Center, the Harris Corporation, Interstate Securities Corporation, J.R. Simplot Company, LDDS, Liberty Telephone and Communications, Louisiana/Mississippi Association of Resellers, Merrill Trust Company, Midvale Telephone Exchange, Network Inc., Nevada Power Company, North American Telephone Company, Pan-Alberta Gas, Ltd., PenBay Memorial Hospital, PW Ventures, the South Carolina Long Distance Association, Stanton Telephone Company, Tel America, Teleconnect Company, Teltec Savings Communications, Inc., and Transcall America.

***Professional
and business history***

Ben Johnson Associates, Inc.:
1977—
Consulting Economist
State of Florida:
1975-77
Senior Utility Analyst, Office of Public Counsel
1974-75
Economic Analyst, Office of Public Counsel

Publications

Dr. Johnson has authored or co-authored 13 published articles appearing in such periodicals as The Southern Economic Journal, Proceedings of the Michigan State University Institute of Public Utilities, Public Utilities Fortnightly, West Virginia Law Review, Electric Ratemaking, and The New York Times.

***Lectures,
conferences and
seminars***

Dr. Johnson has lectured to undergraduate classes in economics at Florida State University on public utility regulation and economic theory and has addressed conferences and seminars sponsored by the National Association of Regulatory Utility Commissioners' Subcommittee on Law, the Marquette University College of Business Administration, the Utah Division of Public Utilities and the University of Utah, the Competitive Telecommunications Association (COMPTEL), the Michigan State University Institute of Public Utilities, the National Association of State Utility Consumer Advocates (NASUCA), the Rural Electrification Administration, the North Carolina Public Staff Utilities Commission, the North Carolina State University Department of Economics and Business Center for Economic and Business Studies, and the University of Florida College of Business Administration.

***Professional
memberships***

American Economic Association

Personal references

Mr. Saul Rigberg
Intervenor Attorney
Utility Intervention Unit
Division of Consumer Protection
NYS Department of State
1 Commerce Plaza
99 Washington Ave, Suite 1020
Albany, NY 12231
(518) 408-3746

Dan Pozefsky
Residential Utility Consumer Office
1110 W. Washington, Suite 220
Phoenix, AZ 85007
(602) 364-4846

Don C. Reading

Present position Vice President and Consulting Economist

Education B.S., Economics — Utah State University
M.S., Economics — University of Oregon
Ph.D., Economics — Utah State University

Honors and awards Omicron Delta Epsilon, NSF Fellowship

Professional and business history Ben Johnson Associates, Inc.:
1989 — Vice President
1986 — Consulting Economist

Idaho Public Utilities Commission:
1981-86 Economist/Director of Policy and Administration

Teaching:
1980-81 Associate Professor, University of Hawaii-Hilo
1970-80 Associate and Assistant Professor, Idaho State University
1968-70 Assistant Professor, Middle Tennessee State University

Firm experience Dr. Reading provides expert testimony concerning economic and regulatory issues. He has testified on more than 40 occasions before utility regulatory commissions in Alaska, California, Colorado, the District of Columbia, Hawaii, Idaho, Nevada, North Dakota, Texas, Utah, Wyoming, and Washington.

Dr. Reading has more than 35 years experience in the field of economics. He has participated in the development of indices reflecting economic trends, GNP growth rates, foreign exchange markets, the money supply, stock market levels, and inflation. He has analyzed such public policy issues as the minimum wage, federal spending and taxation, and import/export balances. Dr. Reading is one of four economists providing yearly forecasts of statewide personal income to the State of Idaho for purposes of establishing state personal income tax rates.

In the field of telecommunications, Dr. Reading has provided expert testimony on the issues of marginal cost, price elasticity, and measured service. Dr. Reading prepared a state-specific study of the price elasticity of demand for local telephone service in Idaho and recently conducted research for, and directed the preparation of, a report to the Idaho legislature regarding the status of telecommunications competition in that state. Dr. Reading is currently case manager of BJA's ongoing assistance to the Alaska and Nevada commissions on implementation of provisions of the 1996 Telecommunications Act.

Dr. Reading's areas of expertise in the field of electric power include demand forecasting, long-range planning, price elasticity, marginal and average cost pricing, production-simulation modeling, and econometric modeling. Among his recent cases was an electric rate design analysis for the Industrial Customers of Idaho Power.

Among Dr. Reading's recent projects are a FERC hydropower relicensing study (for the Skokomish Indian Tribe) and an analysis of Northern States Power's North Dakota rate design proposals affecting large industrial customers (for J.R. Simplot Company). Dr. Reading has also provided an analysis for the Idaho Governor's Office of the impact on the Northwest Power Grid of various plans to increase salmon runs in the Columbia River Basin.

Dr. Reading has prepared econometric forecasts for the Southeast Idaho Council of Governments and the Revenue Projection Committee of the Idaho State Legislature. He has also been a member of several Northwest Power Planning Council Statistical Advisory Committees and vice chairman of the Governor's Economic Research Council in Idaho

While at Idaho State University, Dr. Reading performed demographic studies using a cohort/survival model and several economic impact studies using input/output analysis. He has also provided expert testimony in cases concerning loss of income resulting from wrongful death, injury, or employment discrimination.

Publications

"Energizing Idaho", Idaho Issues Online, Boise State University, Fall 2006.
www.boisestate.edu/history/issuesonline/fall2006_issues/index.html

The Economic Impact of the 2001 Salmon Season In Idaho, Idaho Fish and Wildlife Foundation, April 2003.

The Economic Impact of a Restored Salmon Fishery in Idaho, Idaho Fish and Wildlife Foundation, April, 1999.

The Economic Impact of Steelhead Fishing and the Return of Salmon Fishing in Idaho, Idaho Fish and Wildlife Foundation, September, 1997.

"Cost Savings from Nuclear Resources Reform: An Econometric Model" (with E. Ray Canterbury and Ben Johnson) Southern Economic Journal, Spring 1996.

A Visitor Analysis for a Birds of Prey Public Attraction, Peregrine Fund, Inc., November, 1988.

Investigation of a Capitalization Rate for Idaho Hydroelectric Projects, Idaho State Tax Commission, June, 1988.

"Post-PURPA Views," In Proceedings of the NARUC Biennial Regulatory Conference, 1983.

An Input-Output Analysis of the Impact from Proposed Mining in the Challis Area (with R. Davies). Public Policy Research Center, Idaho State University, February 1980.

Phosphate and Southeast: A Socio Economic Analysis (with J. Eyre, et al). Government Research Institute of Idaho State University and the Southeast Idaho Council of Governments, August 1975.

Don C. Reading

Estimating General Fund Revenues of the State of Idaho (with S. Ghazanfar and D. Holley). Center for Business and Economic Research, Boise State University, June 1975.

"A Note on the Distribution of Federal Expenditures: An Interstate Comparison, 1933-1939 and 1961-1965." In *The American Economist*, Vol. XVIII, No. 2 (Fall 1974), pp. 125-128.

"New Deal Activity and the States, 1933-1939." In *Journal of Economic History*, Vol. XXXIII, December 1973, pp. 792-810.

*Personal
references*

Mr. Dean J. Miller
McDevitt & Miller
420 W. Bannock Street
P.O. Box 2564
Boise, ID 83701
(208) 343-7500

Dr. Don Holley
Boise State University
Department of Economic
1910 University Drive
Boise, ID 83725
(208) 426-1158

Peter Richardson
Richardson Adams
515 N. 27th Street
P.O. Box 7218
Boise, ID 83707
(208) 938-7900

Ryan Lock

Present position Senior Research Analyst

Education B.S., International Affairs and Criminology *with Honors* — Florida State University
Certificate, Emergency Management and Homeland Security — Florida State University
M.S., Critical Incident Management — St. Leo University

Firm experience Mr. Lock has assisted with research and data analysis on projects pertaining to revenue requirements, cost of service, rate of return, rate adjustments, cost-benefit analysis and financial ratios. He assists with quantitative analysis, and preparation of workpapers and exhibits, among other responsibilities.

Professional and business history Ben Johnson Associates, Inc.

2014-	Senior Research Analyst
2012-13	Research Analyst

Florida State University School of Criminology

2012-13	Research Assistant
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Bid Summary

The OCA posted the RFP for services on our website on September 22, 2014, and also emailed it to the list of consulting firms identified on Exhibit E. The OCA received seven proposals in response to the RFP.

The proposals received were from Ariadair Economics Group, Ben Johnson Associates, Inc., Exeter Associates, Inc., Hill Associates, Bo-Tree Analytics, LLC., Snake Hill Energy Resources, Inc., and Snavely Kind Majoros & Associates, Inc.

Susan Chamberlin, the Consumer Advocate reviewed the proposals. She considered:

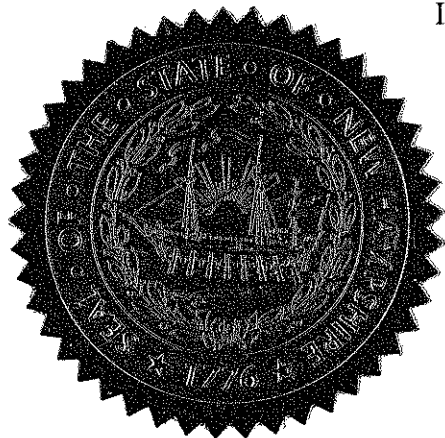
- Whether the proposals were complete and met the RFP requirements;
- The quality and extent of the bidder's experience and expertise in utility operations and regulation;
- Ability to complete the tasks necessary for the job;
- The knowledge and practical skills of both the firm and the staff assigned in the proposal;
- Any experience and qualifications in providing similar services to Commissions, Consumer Advocates, or other similar clients;
- The proposed hourly rate for the project;
- Availability during the timeframe of the docket;
- Potential conflicts of interest; and
- Distance and accessibility to the OCA's offices.

After this process, the reviewer determined that the OCA should seek approval to contract with Ben Johnson Associates, Inc.

State of New Hampshire Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that Ben Johnson Associates, Inc., a(n) Florida corporation, is authorized to transact business in New Hampshire and qualified on November 17, 2014. I further certify that all fees required by the Secretary of State's office have been received.



In TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 24th day of November, A.D. 2014

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State

PRINCIPAL OFFICES:
5600 PIMLICO DRIVE
TALLAHASSEE, FLORIDA 32309
850-893-8600
FAX 850-893-3000

ECONOMIC RESEARCH
AND ANALYSIS

Ben Johnson®
Associates, Inc

Certificate of Authority

I, Ben Johnson, President of Ben Johnson Associates, Inc., a Florida Corporation do hereby certify that by a Written Consent of the Board of Directors of Ben Johnson Associates, Inc., effective December 3, 2014, a copy of which is attached hereto, I am duly authorized to enter into legally binding contracts with the State of New Hampshire for the provision of consulting services, and to execute any and all documents, agreements and other instruments, and any amendments, revisions, or modifications thereto, as necessary, desirable and appropriate. This authorization has not been modified, rescinded or revoked, and is at present in full force and effect.

IN WITNESS WHEREOF, the undersigned has affixed his signature this 4th day of December, 2014.



Ben Johnson, Ph.D.
President

Notary:

State of Florida
County of Leon
Sworn and subscribed before me this 4th day of
December, 2014

Heidi A. Pullen
Notary Public



6070 HILL ROAD
BOISE, IDAHO 83703
208-342-1700
FAX 208-384-1511

VISIT OUR WEBSITES:
benjohnsonassociates.com
utilityregulation.com

ELECTRONIC MAIL:
staff@benjohnsonassociates.com

